



***Causality problems related to the
numerical modeling of interconnects
and connectors***

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Samtec***

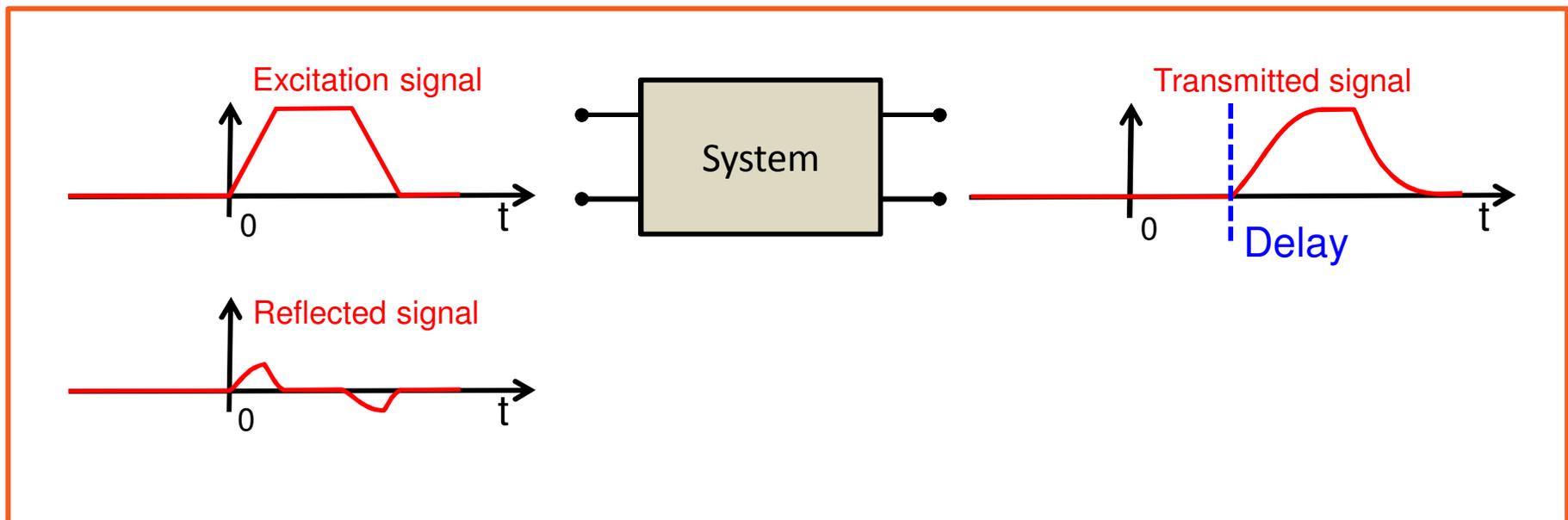
S-parameter models are widely used to model high speed interconnects and connectors

- Model accurately frequency dependent behavior (Skin effect, resonances, filter effects, ...)
- Easy to generate: NWA measurements, Full wave simulators
- Accurate simulations require high-quality S-parameters
 - Passive
 - Reciprocal
 - Causal
 - ...
- Practical considerations
 - Bandwidth limited
 - Tabulated: only known at discrete values

Causality

Causality is a simple, intuitive concept.

- Cause and effect
- There can be no response of a system before the system is excited.





***Causality for continuous signals
with an infinite bandwidth***

Causality for continuous signals with infinite bandwidth

Time domain condition for causality

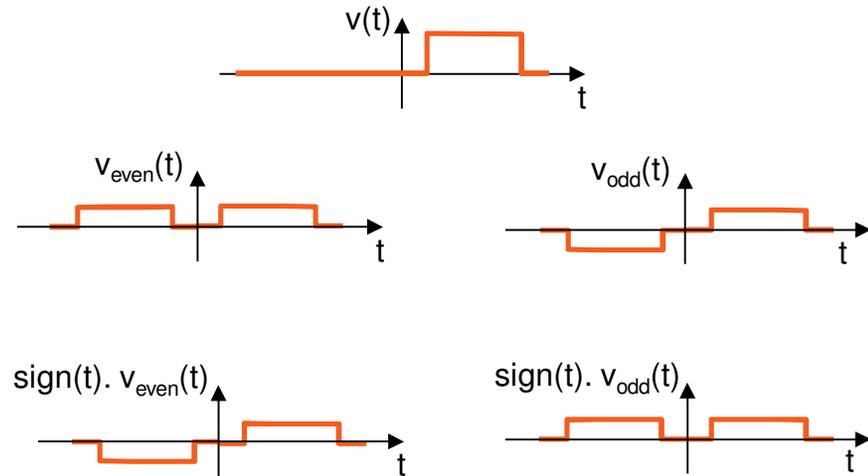
$$v(t) = 0 \quad t < 0$$

or

$$v_{\text{even}}(t) = \text{sign}(t) \cdot v_{\text{odd}}(t)$$

$$v_{\text{odd}}(t) = \text{sign}(t) \cdot v_{\text{even}}(t)$$

v(t) is causal



$$\text{sign}(t) = \begin{cases} -1 & t < 0 \\ 0 & t = 0 \\ 1 & t > 0 \end{cases}$$

Causality for continuous signals with infinite bandwidth

Time domain condition for causality

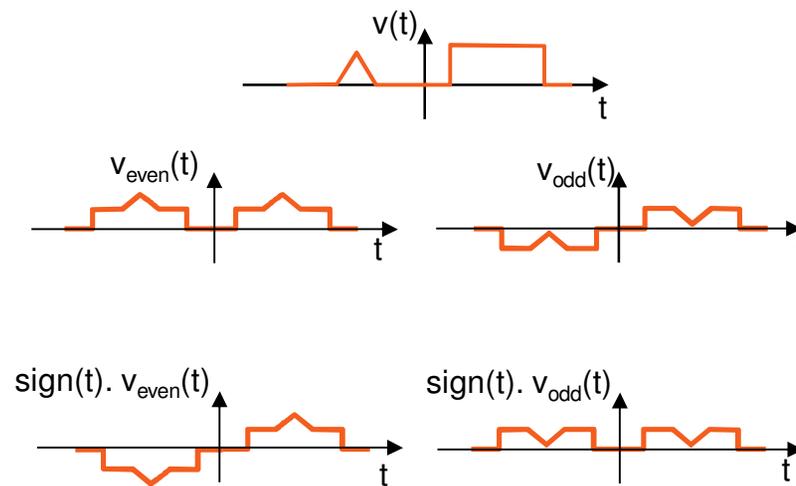
$$v(t) \neq 0 \quad t < 0$$

or

$$v_{\text{even}}(t) \neq \text{sign}(t) \cdot v_{\text{odd}}(t)$$

$$v_{\text{odd}}(t) \neq \text{sign}(t) \cdot v_{\text{even}}(t)$$

$v(t)$ is not causal



$$\text{sign}(t) = \begin{cases} -1 & t < 0 \\ 0 & t = 0 \\ 1 & t > 0 \end{cases}$$

Causality for continuous signals with infinite bandwidth

Time domain condition for causality

$$v(t) = 0 \quad t < 0$$

or

$$v_{\text{even}}(t) = \text{sign}(t) \cdot v_{\text{odd}}(t)$$

$$v_{\text{odd}}(t) = \text{sign}(t) \cdot v_{\text{even}}(t)$$

$$\text{with } \text{FFT}[\text{sign}(t)] = \frac{2}{j2\pi f}$$



Frequency domain condition for causality

$$V_{\text{R}}(f) = \frac{1}{\pi} \text{P} \int_{-\infty}^{+\infty} \frac{V_{\text{I}}(f')}{f - f'} df'$$

$$V_{\text{I}}(f) = -\frac{1}{\pi} \text{P} \int_{-\infty}^{+\infty} \frac{V_{\text{R}}(f')}{f - f'} df'$$

Fourier Transform

REAL and IMAGINARY PART of the FREQUENCY DOMAIN REPRESENTATION OF THE SIGNAL are LINKED through the HILBERT TRANSFORM

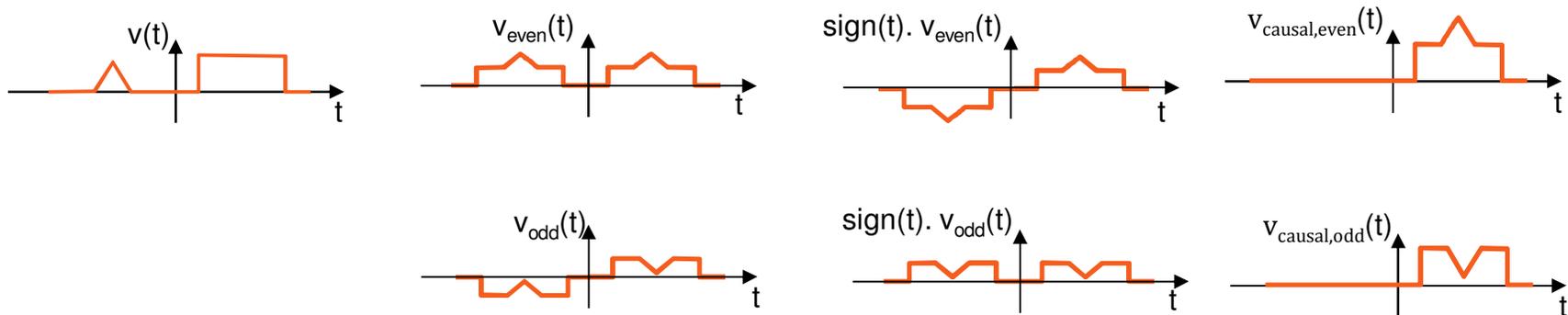
Causality for continuous functions with infinite bandwidth

$$V_{\text{causal}}(t) = v_{\text{even}}(t) + \text{sign}(t) \cdot v_{\text{even}}(t)$$

$$V_{\text{causal}}(t) = v_{\text{odd}}(t) + \text{sign}(t) \cdot v_{\text{odd}}(t)$$

$$V_{\text{causal}}(f) = V_R(f) + j \cdot \text{SIGN}(f) \cdot V_I(f)$$

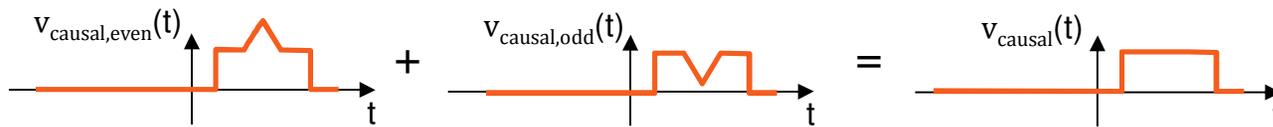
$$V_{\text{causal}}(f) = \text{SIGN}(f) \cdot V_I(f) + j \cdot V_R(f)$$



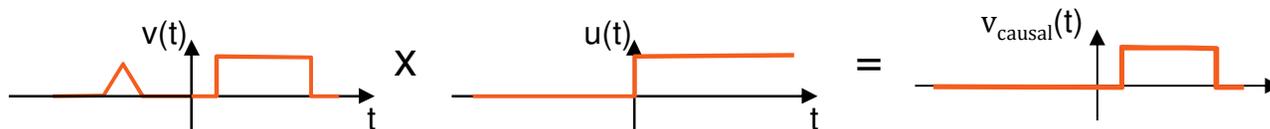
Non-causality is shifted to positive time!

Causality for continuous functions with infinite bandwidth

$$v_{\text{causal}}(t) = \frac{v_{\text{causal,even}}(t) + v_{\text{causal,odd}}(t)}{2}$$



$$v_{\text{causal}}(t) = u(t) \cdot v(t) \quad (\text{Blind causality enforcement})$$

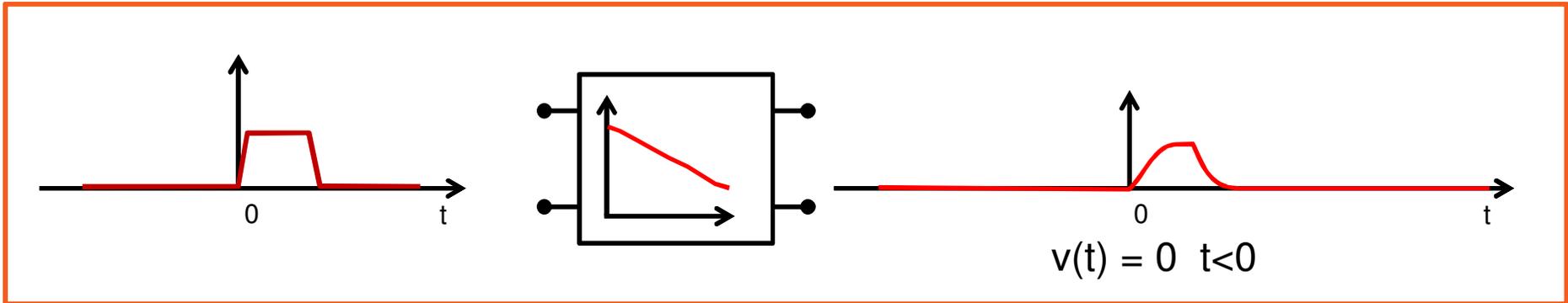




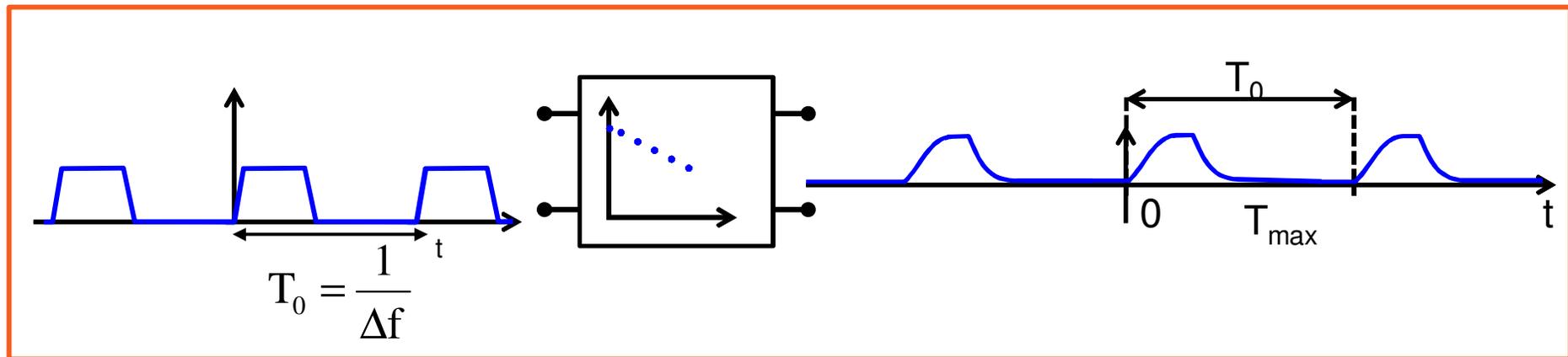
***Causality for discrete signals
with a limited bandwidth***

Causality for discrete bandwidth limited signals

Continuous, infinite bandwidth

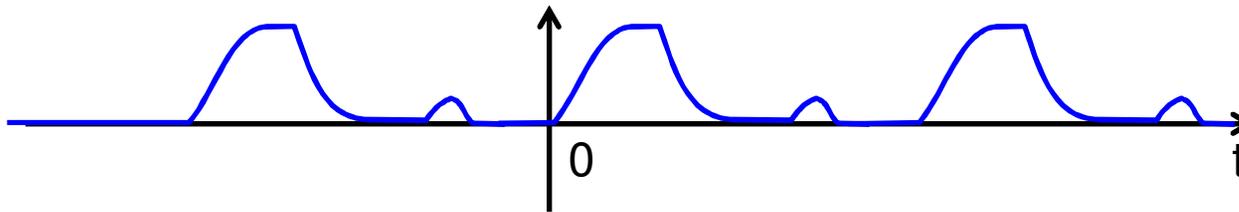


Discrete bandwidth limited

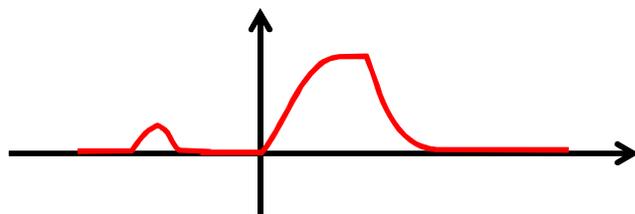


- For discrete signals: time domain response = periodic response limited to one period T_0
- To avoid time domain leakage duration impulse response (T_{max}) < T_0

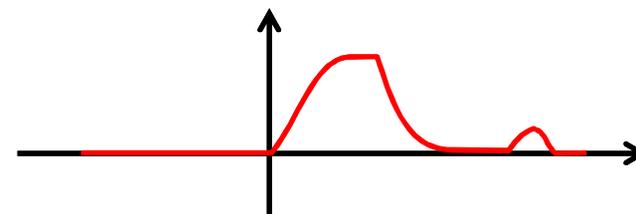
How to check for non-causalities



Causal or non-causal?

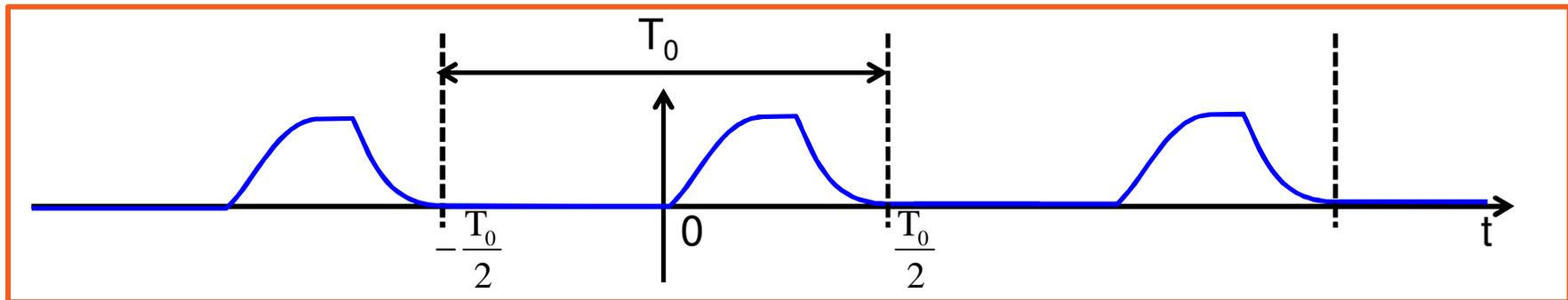


Non-causal



Causal

Causality for discrete bandwidth limited signals



Time domain condition for causality

$$v(t_k) = 0 \quad -\frac{T_0}{2} < t_k < 0$$

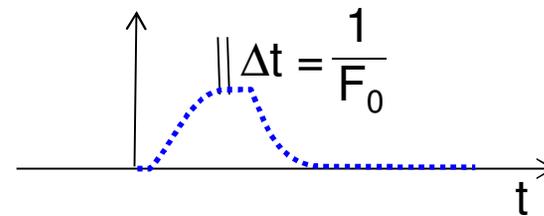
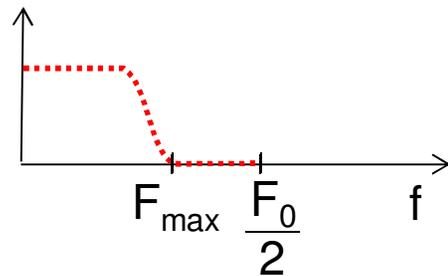
- To avoid time domain leakage, duration time domain response $T_{\max} < T_0$
- To be able to check causality, duration domain response $T_{\max} < \frac{T_0}{2}$

Similarity with Nuiquist's sampling theorem

- **Time domain: Nyquist's sampling theorem:**

$$\Delta t = \frac{1}{F_0} < \frac{1}{2 \cdot F_{\max}}$$

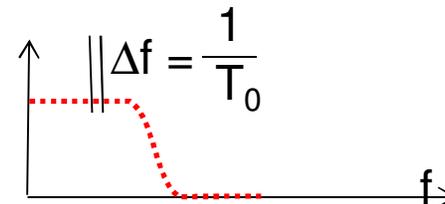
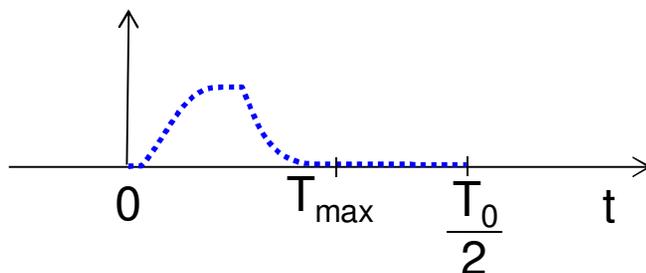
with F_{\max} = the bandwidth of the sampled function
 = the frequency duration of the signal



- **Frequency domain: dual of Nyquist sampling theorem:**

$$\Delta f = \frac{1}{T_0} < \frac{1}{2 \cdot T_{\max}}$$

with T_{\max} = the time duration of the sampled signal



Causality for discrete bandwidth limited signals

Continuous, infinite bandwidth

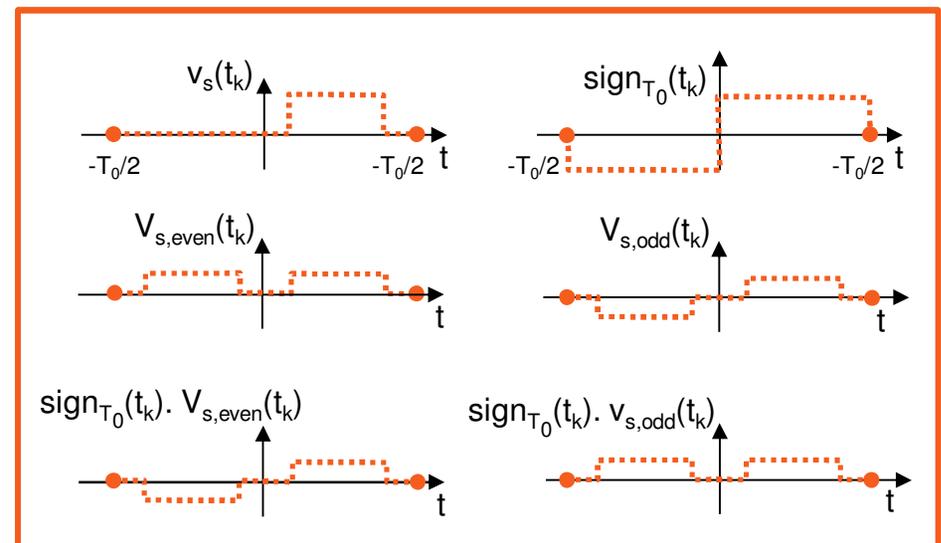
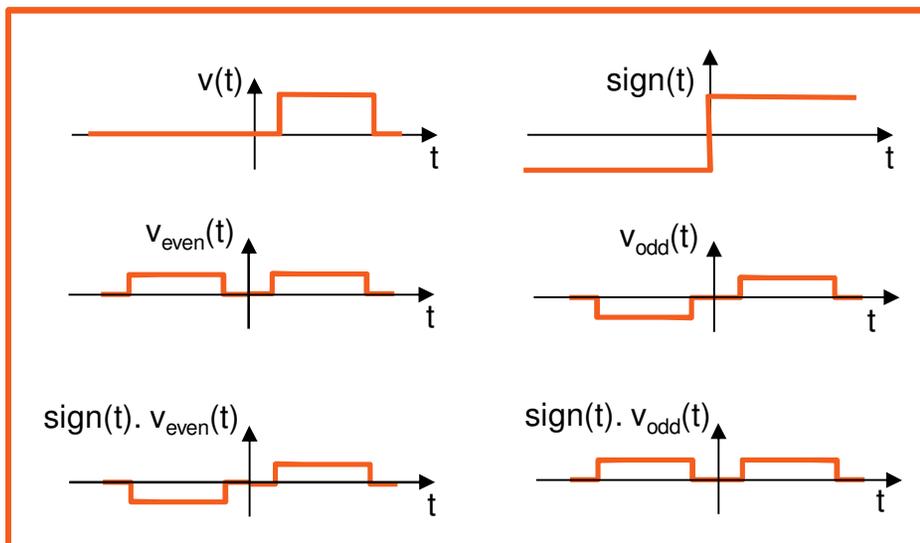
$$v_{\text{even}}(t) = \text{sign}(t) \cdot v_{\text{odd}}(t)$$

$$v_{\text{odd}}(t) = \text{sign}(t) \cdot v_{\text{even}}(t)$$

Discrete, bandwidth limited

$$v_{s,\text{even}}(t_k) = \text{sign}_{T_0}(t_k) \cdot v_{s,\text{odd}}(t_k)$$

$$v_{s,\text{odd}}(t_k) = \text{sign}_{T_0}(t_k) \cdot v_{s,\text{even}}(t_k)$$



Causality for discrete bandwidth limited signals

FREQUENCY DOMAIN CONDITION

Continuous, infinite bandwidth

$$V_R(f) = \text{SIGN}(f) * V_I(f)$$

$$V_I(f) = \text{SIGN}(f) * V_R(f)$$

Discrete, bandwidth limited

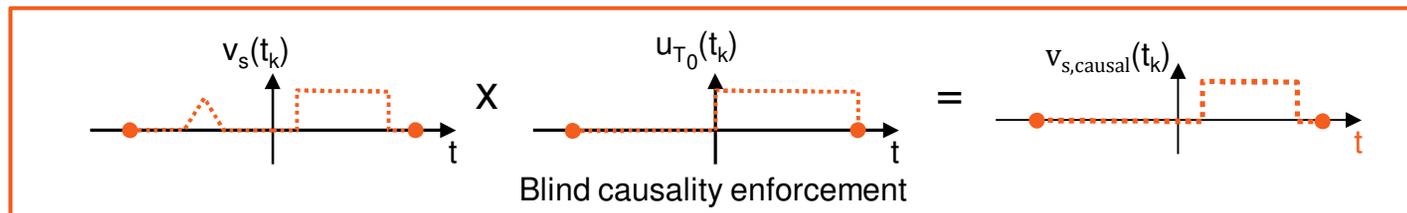
$$V_R(f_k) = \text{SIGN}_{T_0}(f_k) \otimes V_I(f_k)$$

$$V_I(f_k) = \text{SIGN}_{T_0}(f_k) \otimes V_R(f_k)$$

CAUSALITY ENFORCEMENT

$$v_{\text{causal}}(t) = u(t) \cdot v(t)$$

$$v_{s,\text{causal}}(t_k) = u_{T_0}(t_k) \cdot v_s(t_k)$$

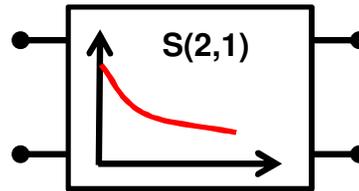




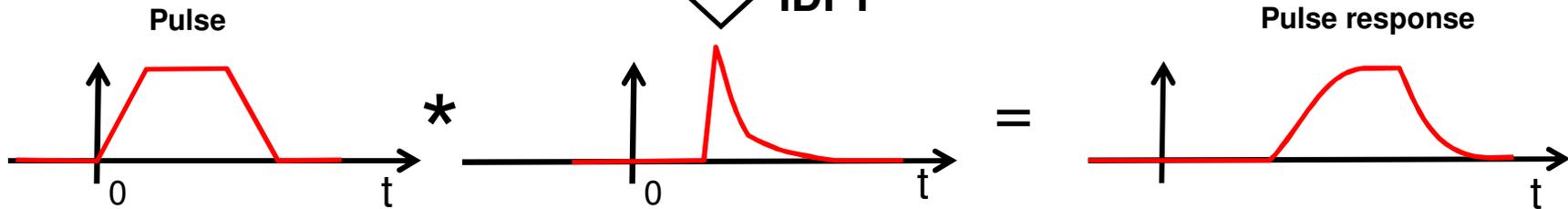
*Calculation of time domain
responses*

Calculation time domain response

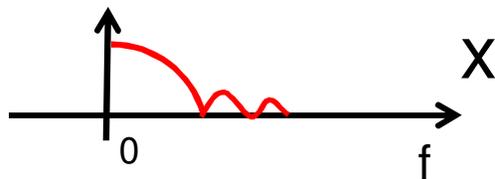
Time domain convolution



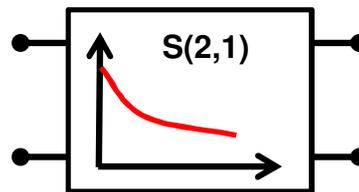
↓ IDFT



Spectrum pulse

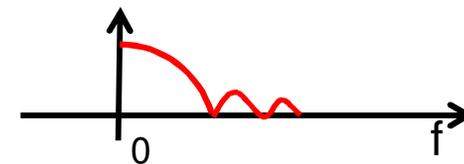


\times



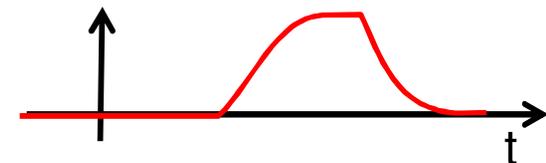
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Spectrum pulse response



↓ IDFT

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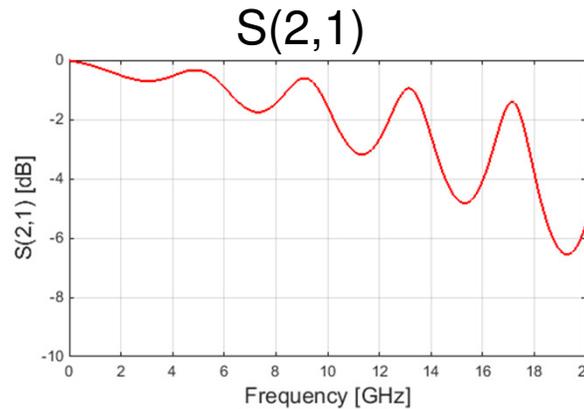
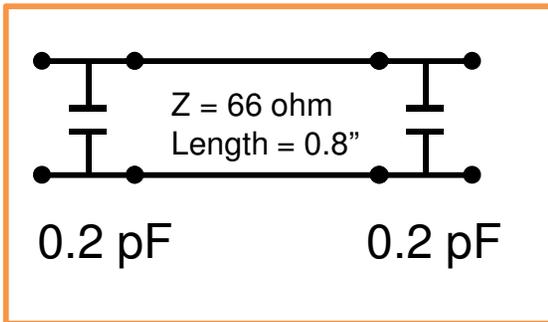


Frequency domain multiplication



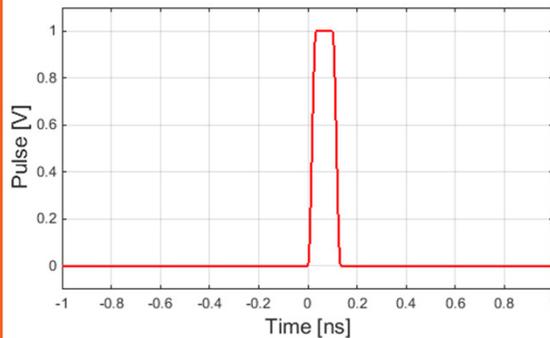
***Causality issues due to
bandwidth limitation***

Time domain convolution



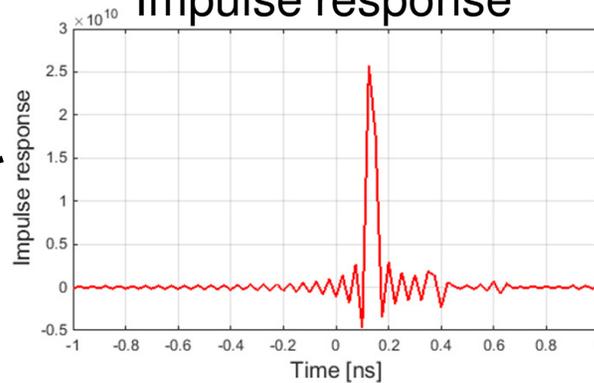
↓ IDFT

Excitation pulse



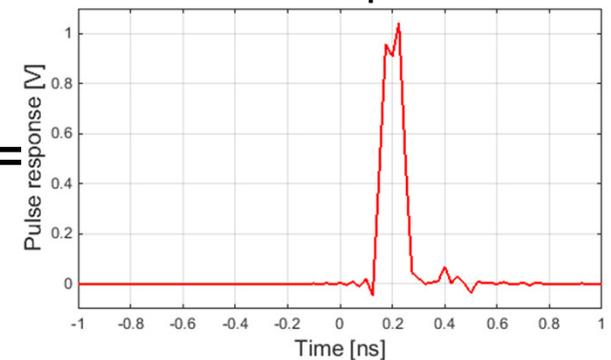
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Impulse response



=

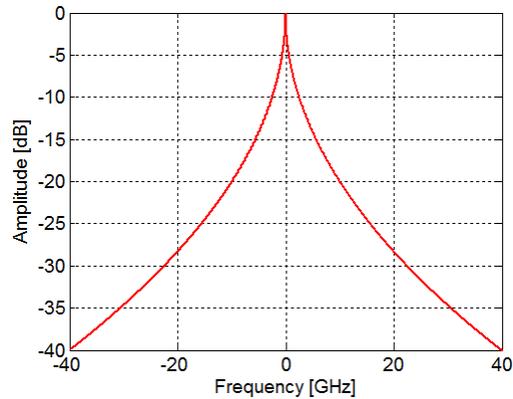
Pulse response



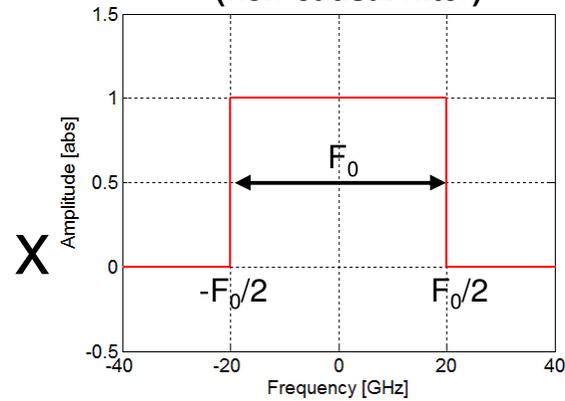
- Impulse response is non-causal: ringing
- Value every $\Delta t = \frac{1}{2 \cdot F_{\max}} = 25 \text{ ps}$

Bandwidth limitation of an S-parameter model

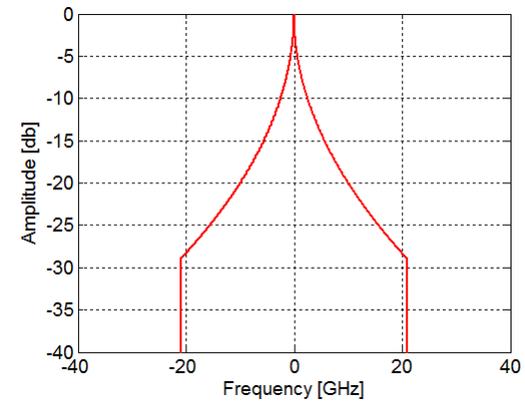
Bandwidth unlimited signal



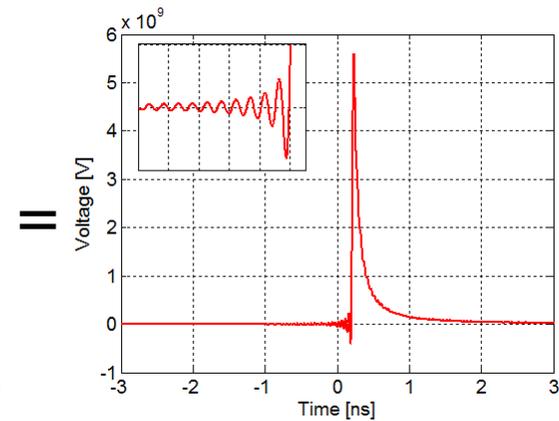
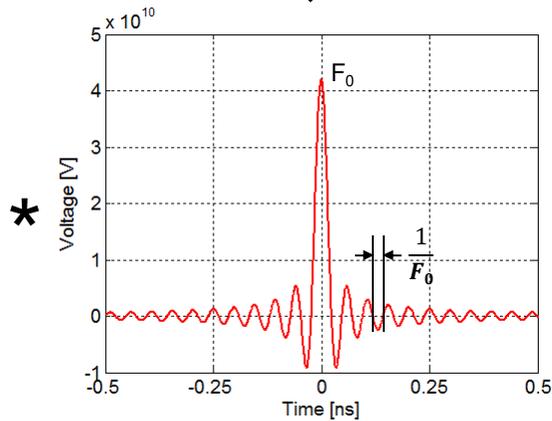
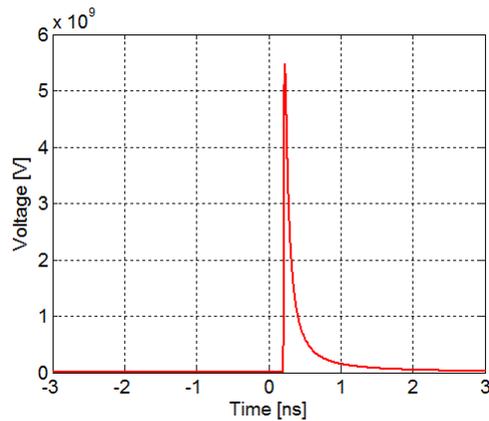
Bandwidth limiting filter (non-causal filter)



Bandwidth limited signal

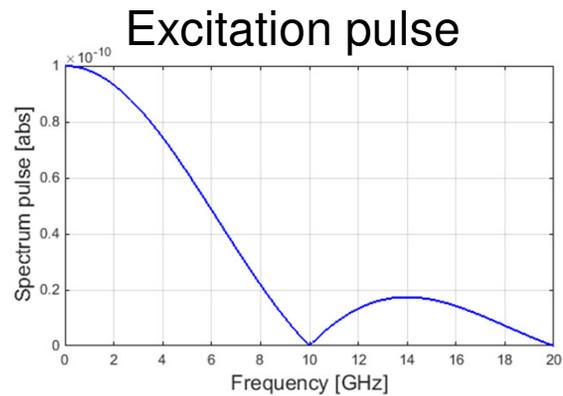


IFT

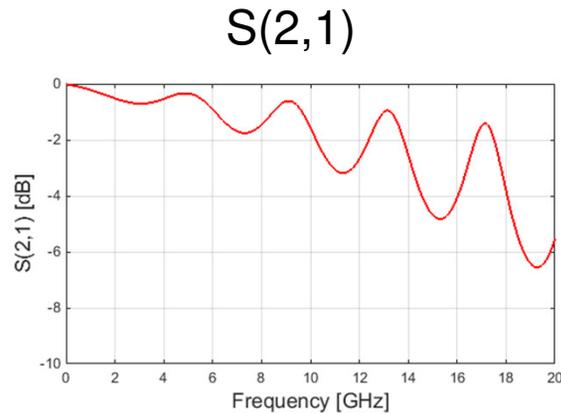


→ Gibbs phenomenon

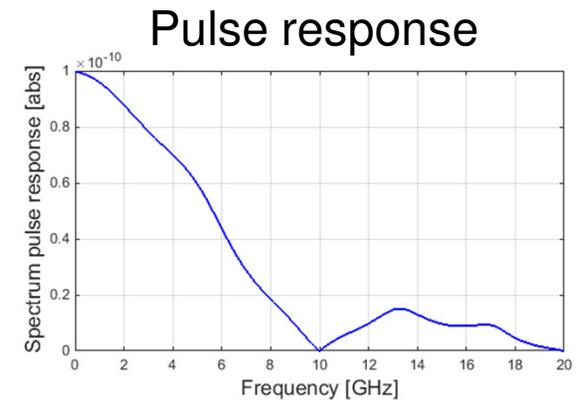
Frequency domain multiplication



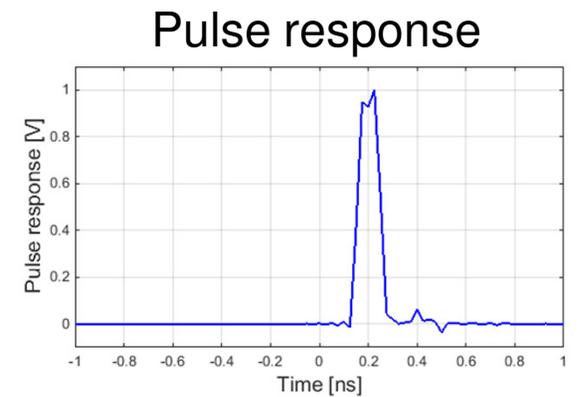
X



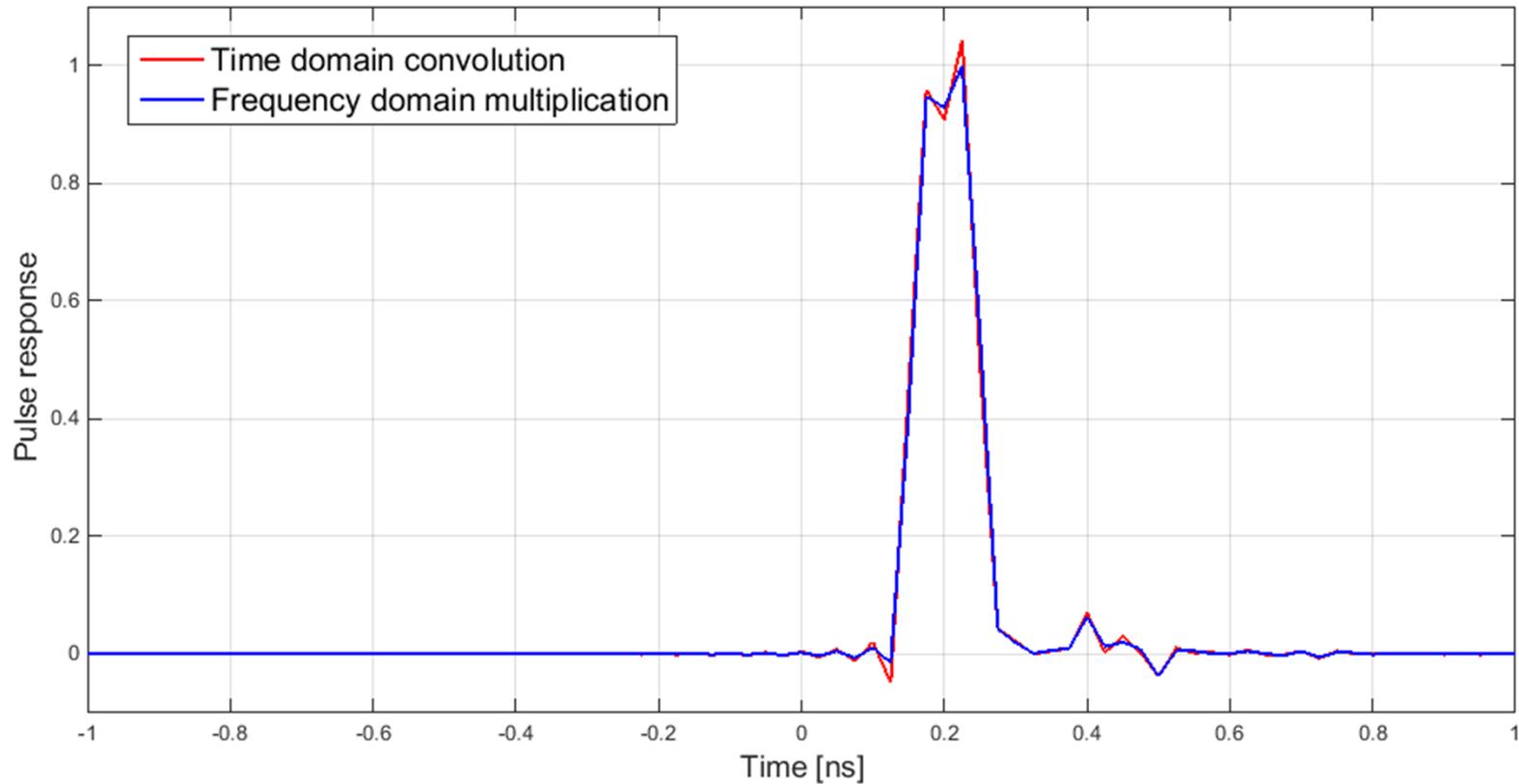
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↓ IDFT

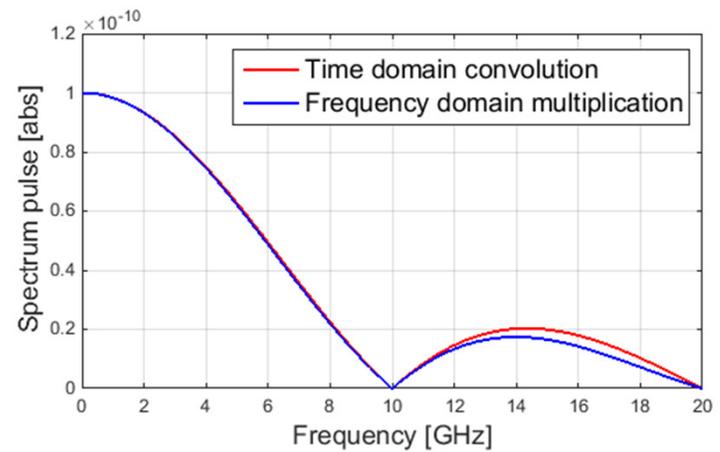
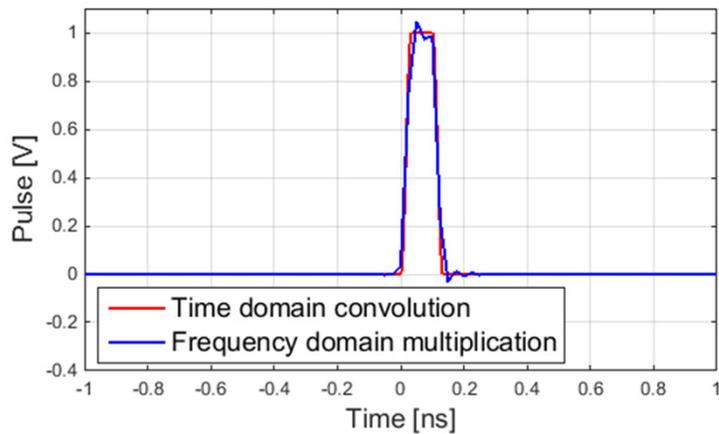
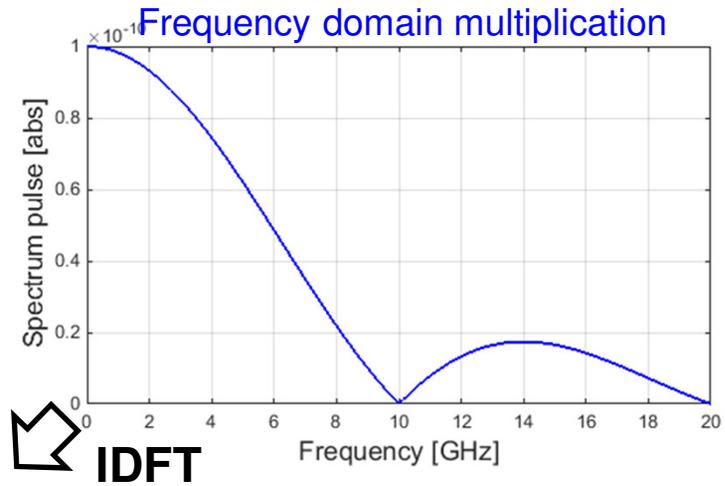
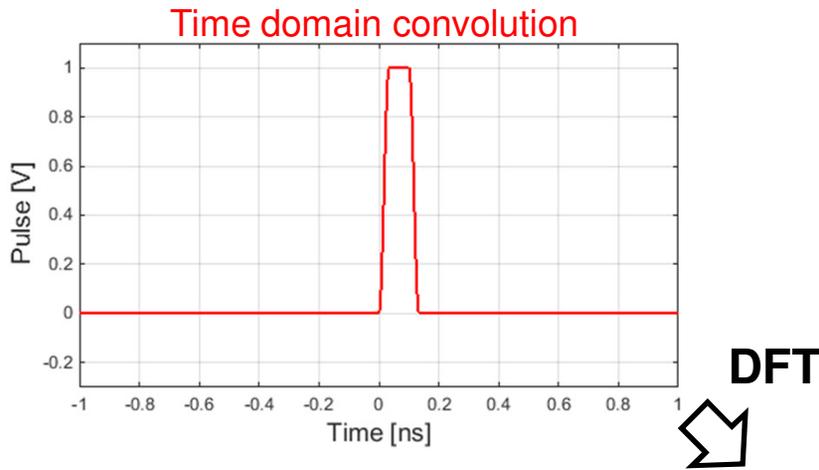


Frequency domain multiplication vs. time domain convolution

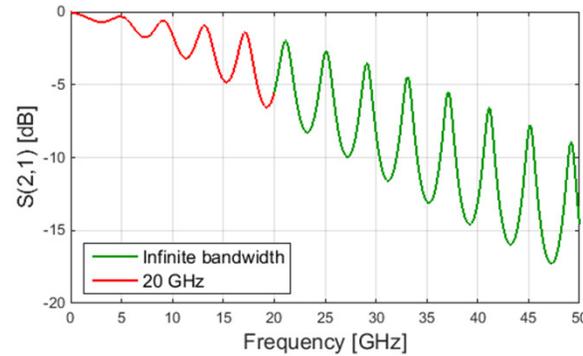


Methods do not match perfectly!

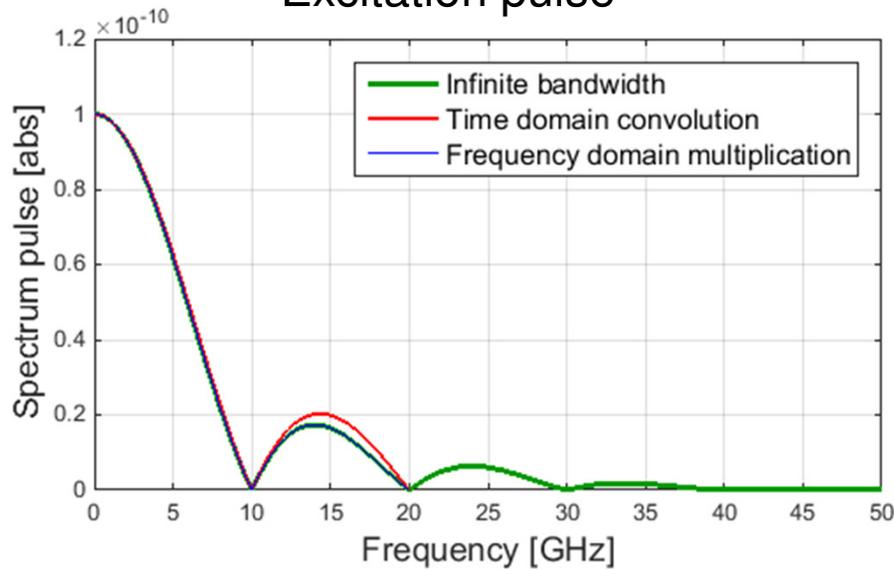
Frequency domain multiplication vs. time domain convolution



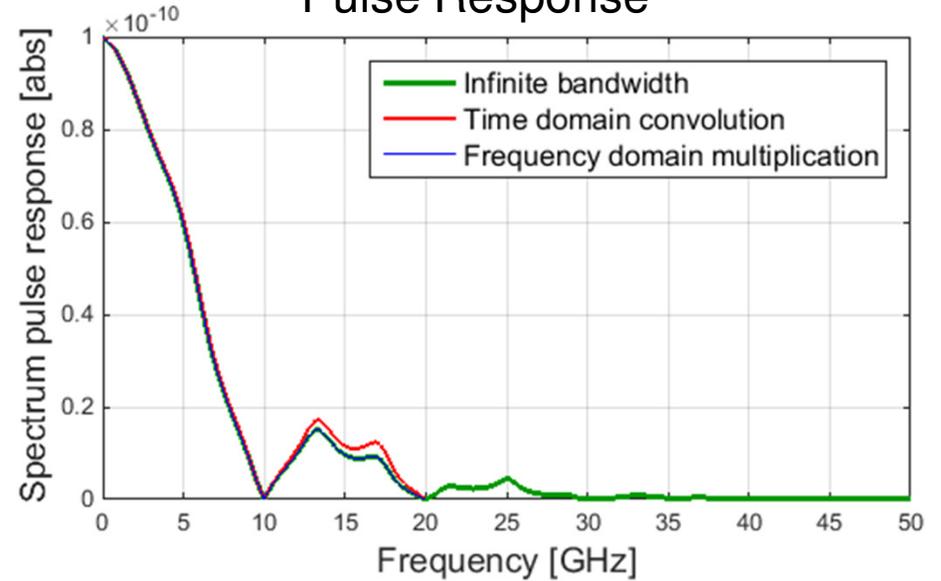
Frequency domain multiplication vs. time domain convolution



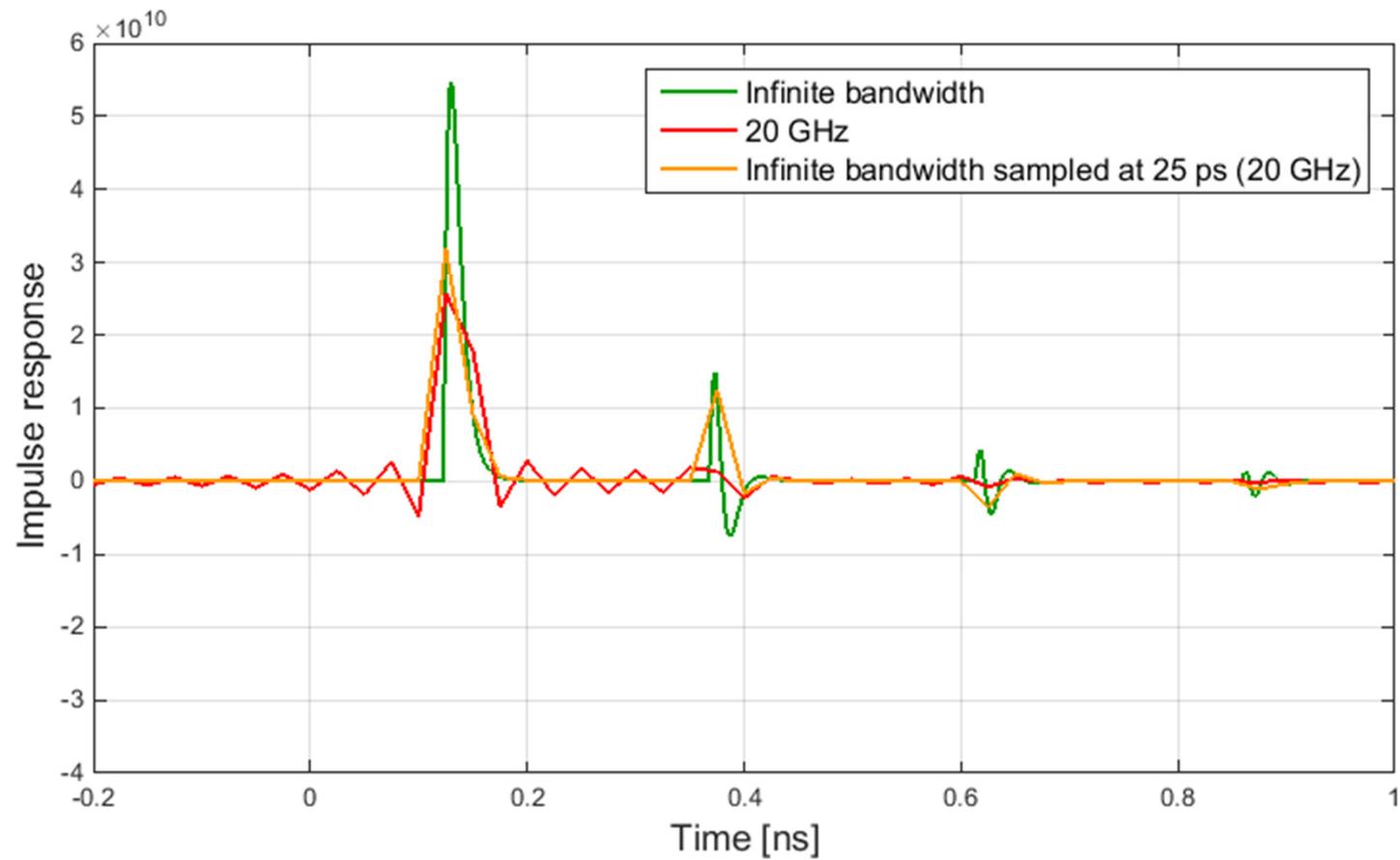
Excitation pulse



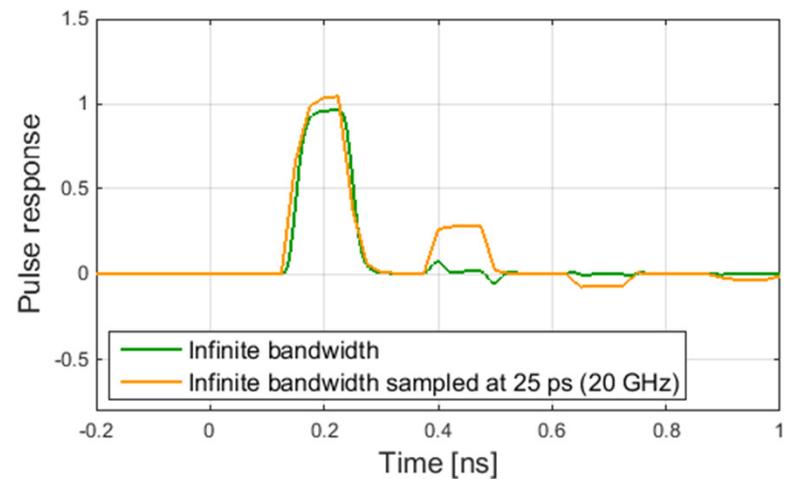
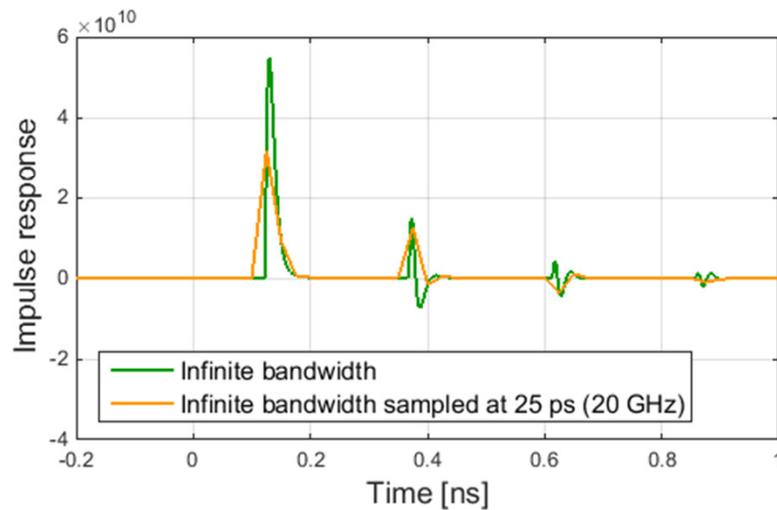
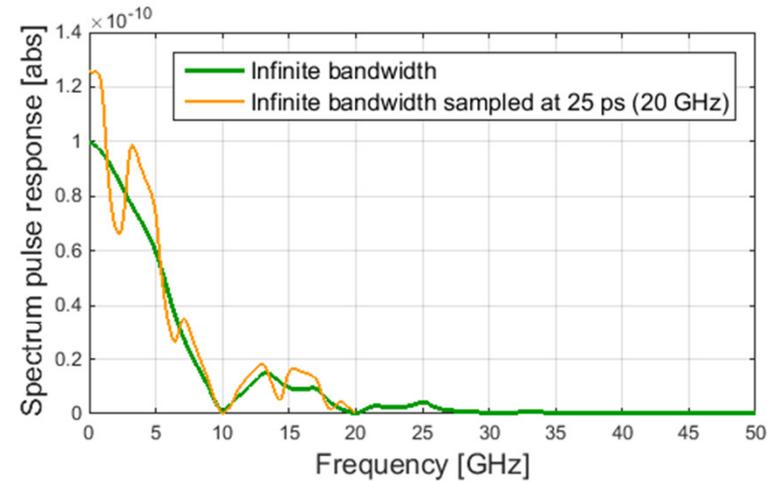
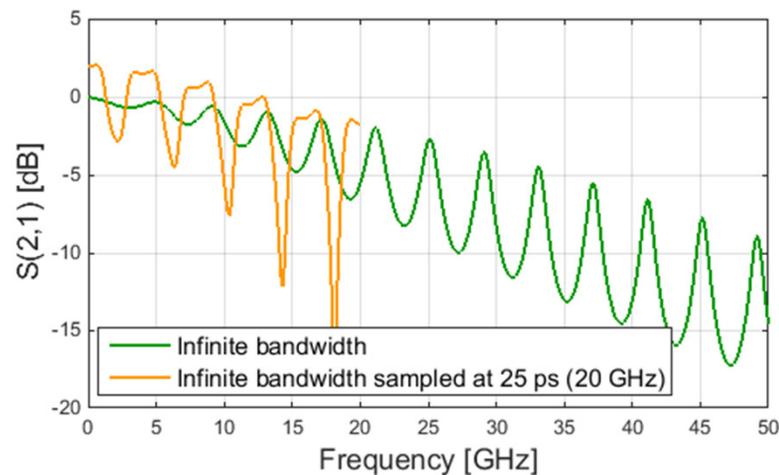
Pulse Response



Frequency domain multiplication vs. time domain convolution

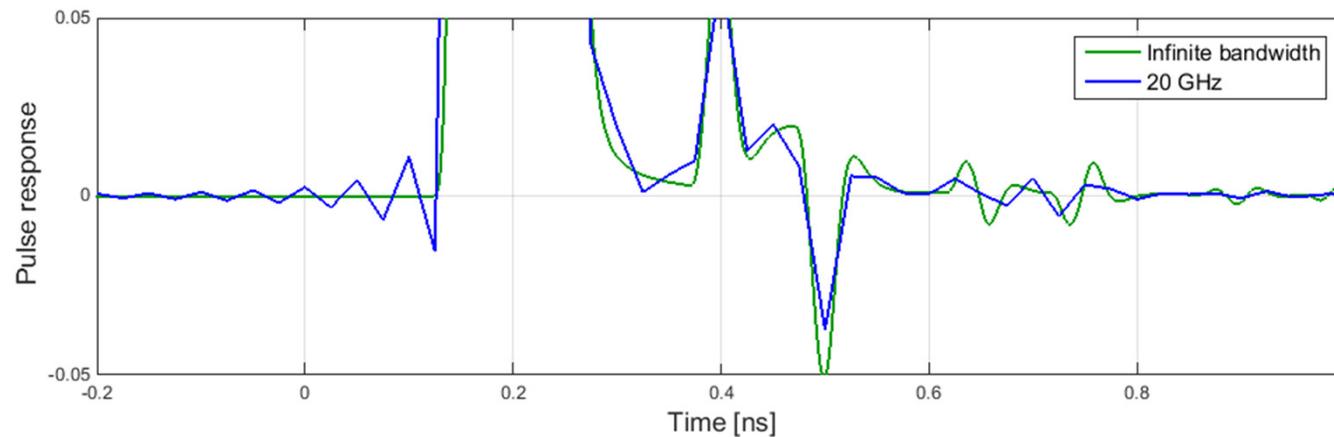
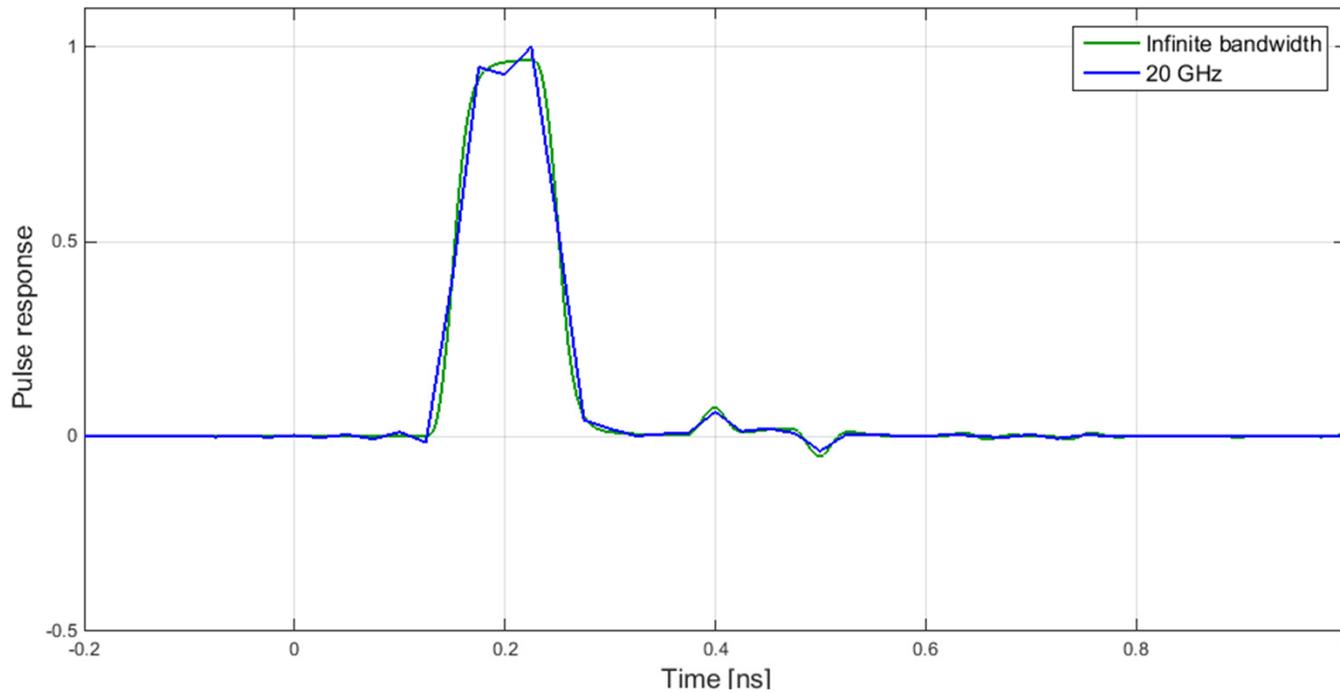


Frequency domain multiplication vs. time domain convolution



Time domain sampling, when not done properly (Nyquist theorem) will introduce frequency domain leakage or aliasing

Bandwidth limitation causes ringing and loss of resolution



Bandwidth limitation causes ringing and loss of resolution

To reduce the ringing: need more bandwidth

To have more time points per bit: need more bandwidth

How much bandwidth is needed ?

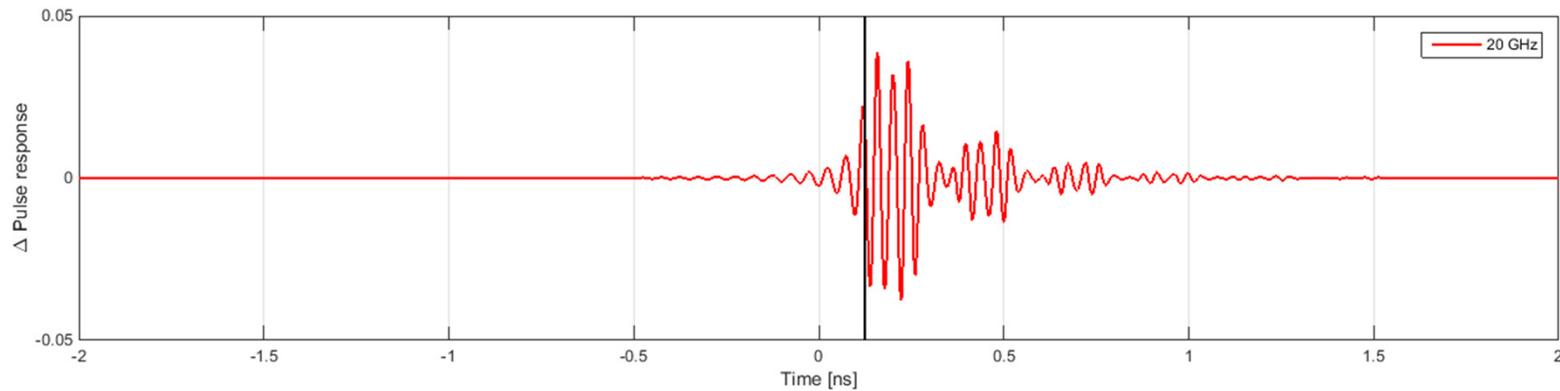
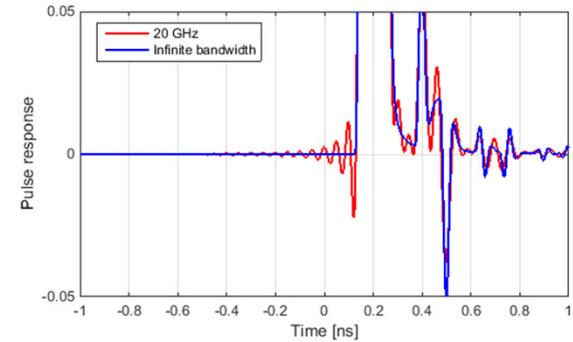
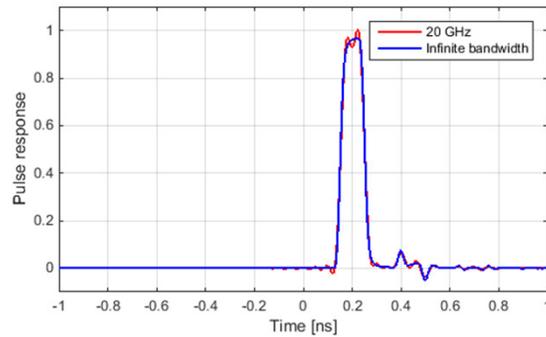
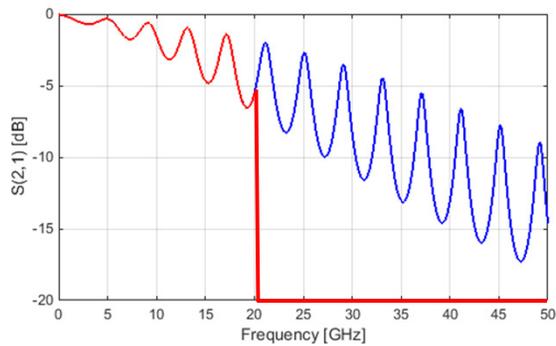
Minimum N points per bit required: $F_{\max} \geq \frac{N \cdot \text{Bitrate}}{2}$

Bitrate [Gb/s]	Bit time [ps]	N	Δt [ps]	Fmax [GHz]
10	100	4	25.00	20
10	100	10	10.00	50
10	100	20	5.00	100
10	100	50	2.00	250
10	100	100	1.00	500
25	40	4	10.00	50
25	40	10	4.00	125
25	40	20	2.00	250
25	40	50	0.80	625
25	40	100	0.40	1250

Up to which frequency is actual data required?

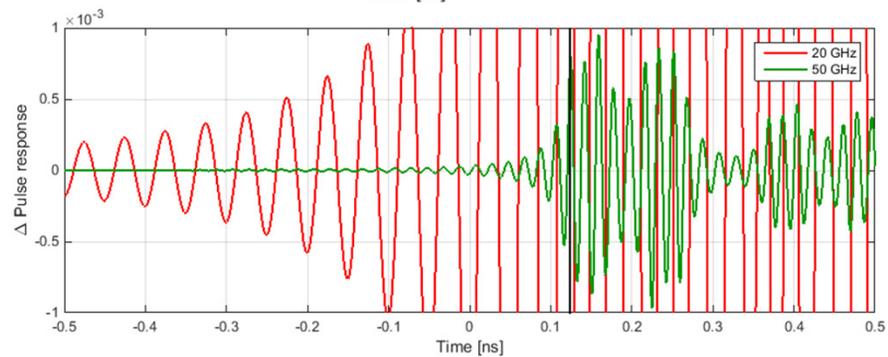
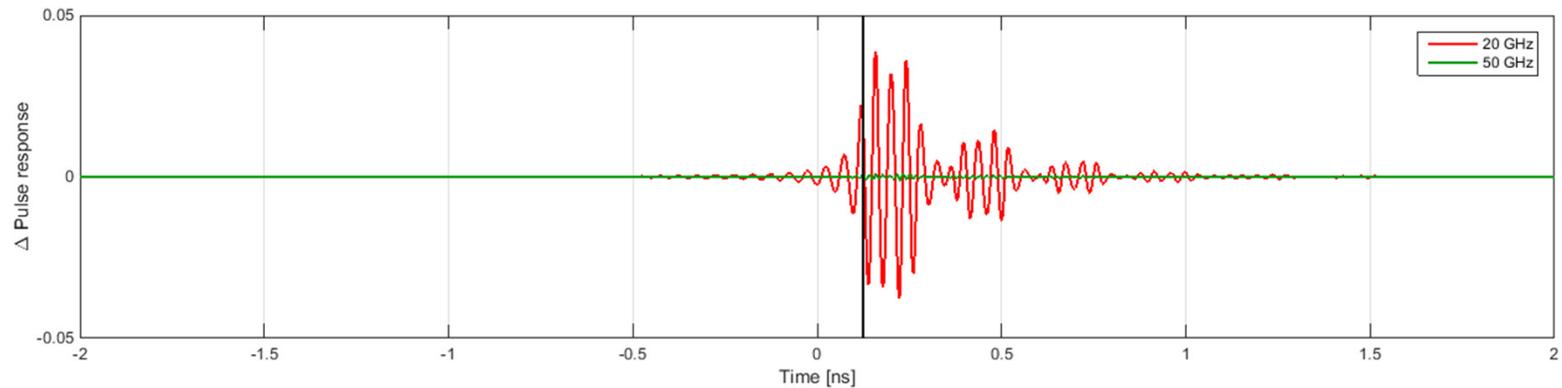
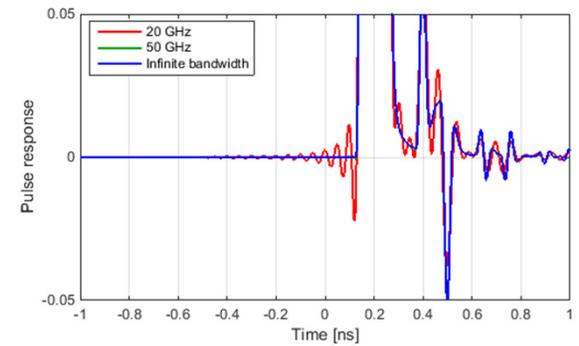
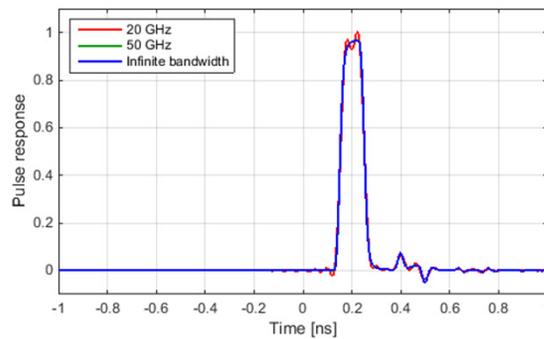
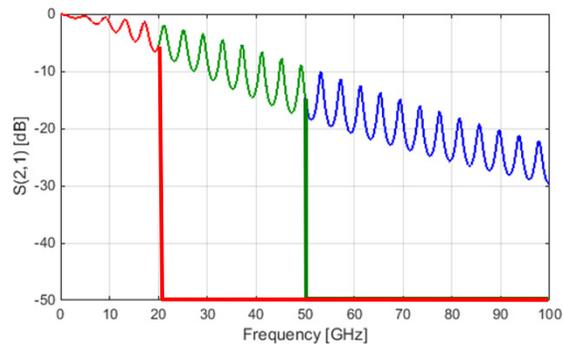
When can I apply zero-padding?

Max bandwidth required

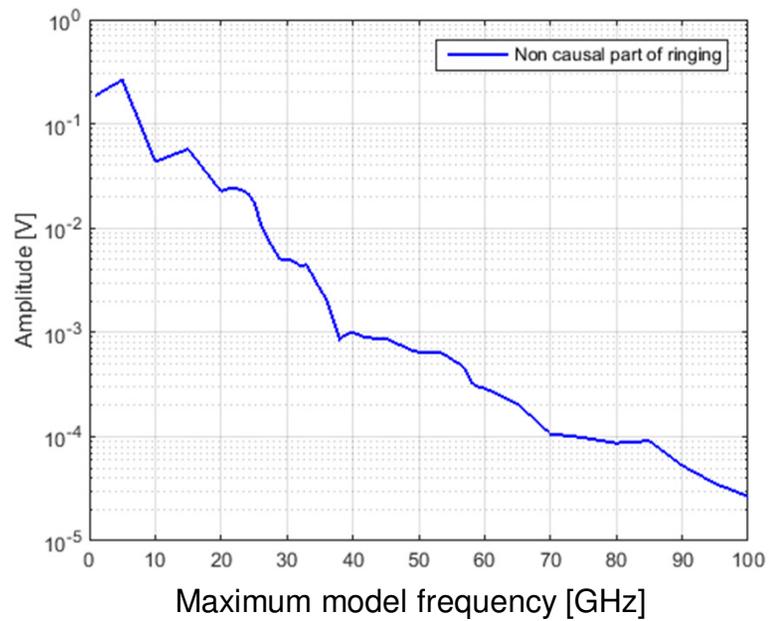
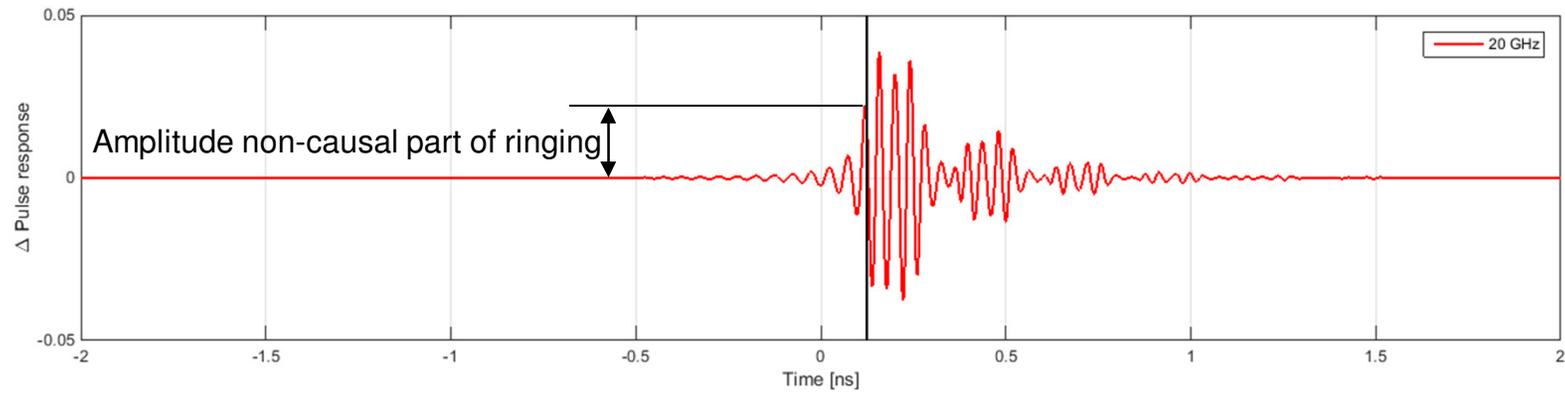


Notice: Main part of ringing in causal part of pulse response!

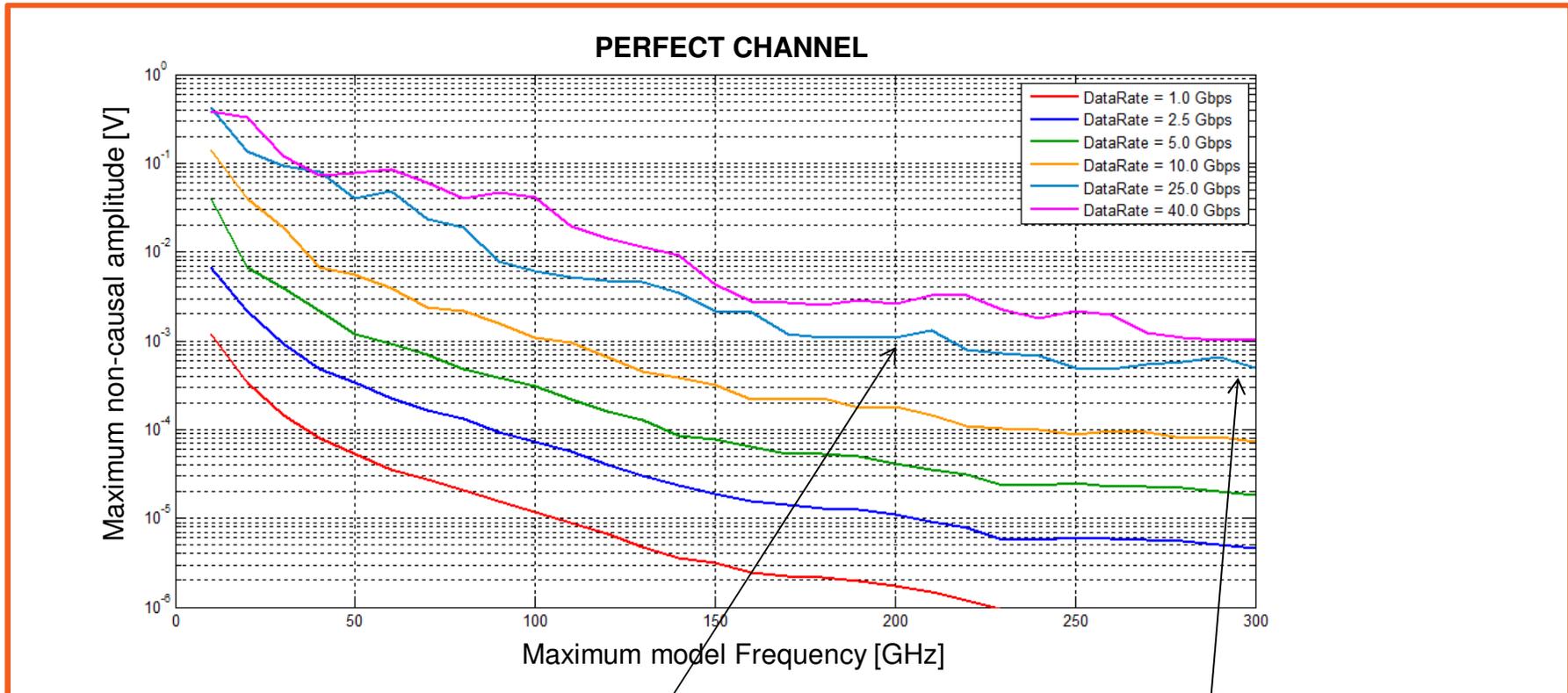
Max bandwidth required



Max bandwidth required



Max bandwidth required

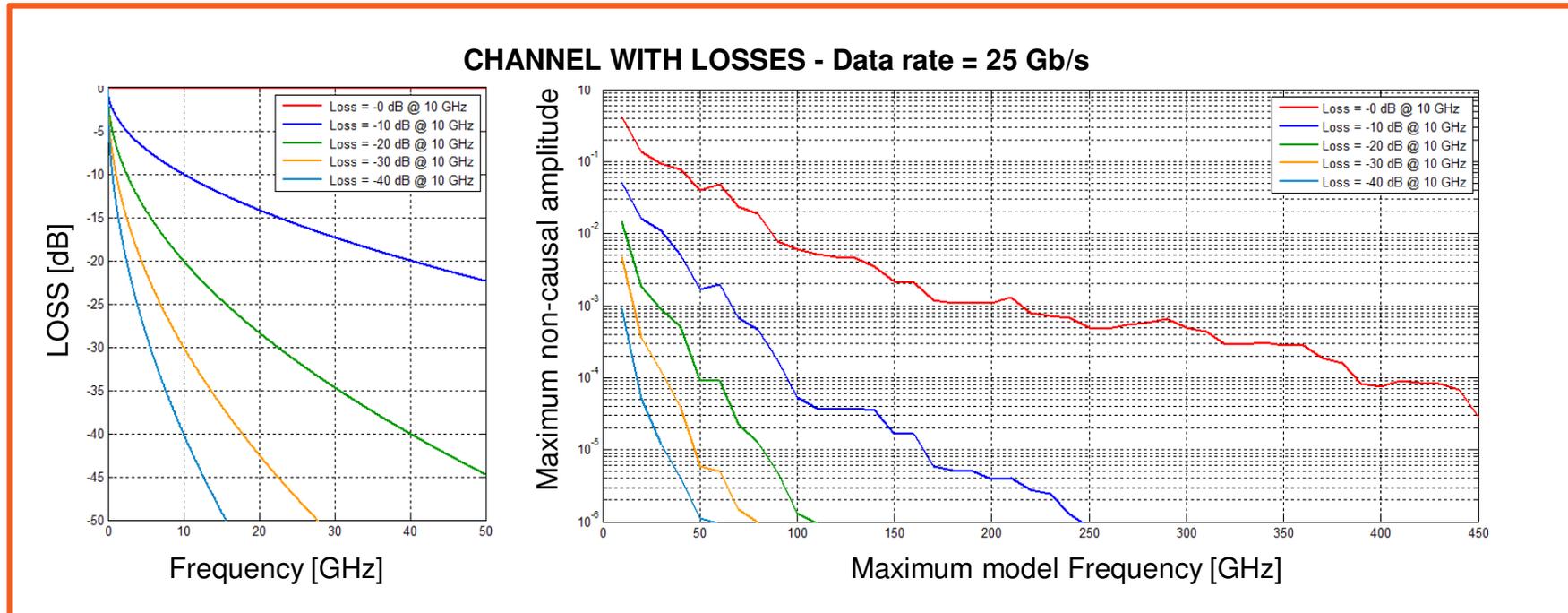


Maximum non-causal amplitude < 1e-3:
 Maximum model frequency = 200 GHz for data rate = 25 Gb/s

Maximum non-causal amplitude < 1e-4:
 Maximum model frequency > 300 GHz for data rate = 25 ,40 Gb/s

Perfect channel defines upper limit for bandwidth.

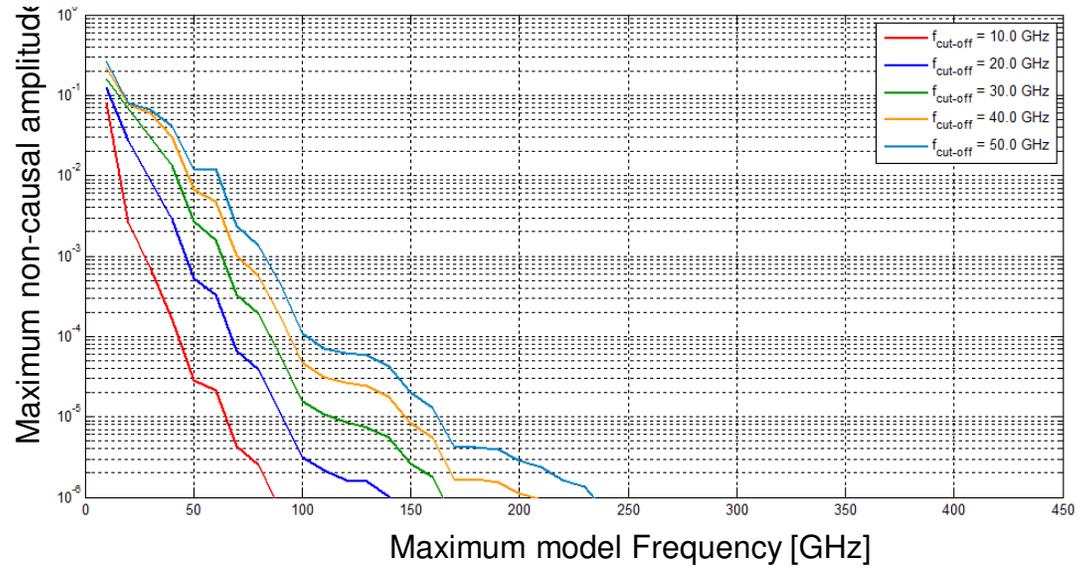
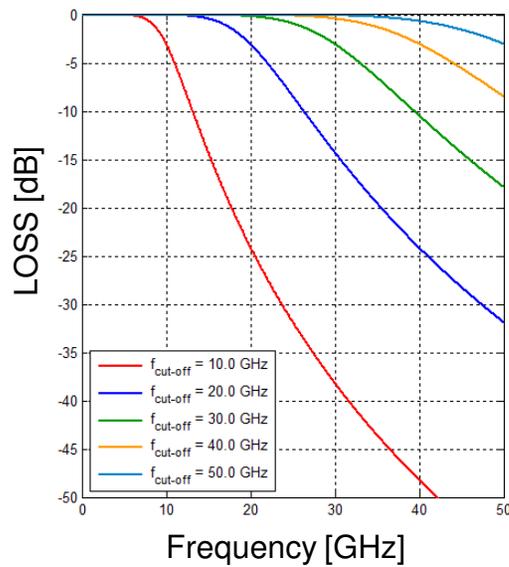
Max bandwidth required



Maximum model frequency F_{max} drops significantly if channel is lossy.

Max bandwidth required

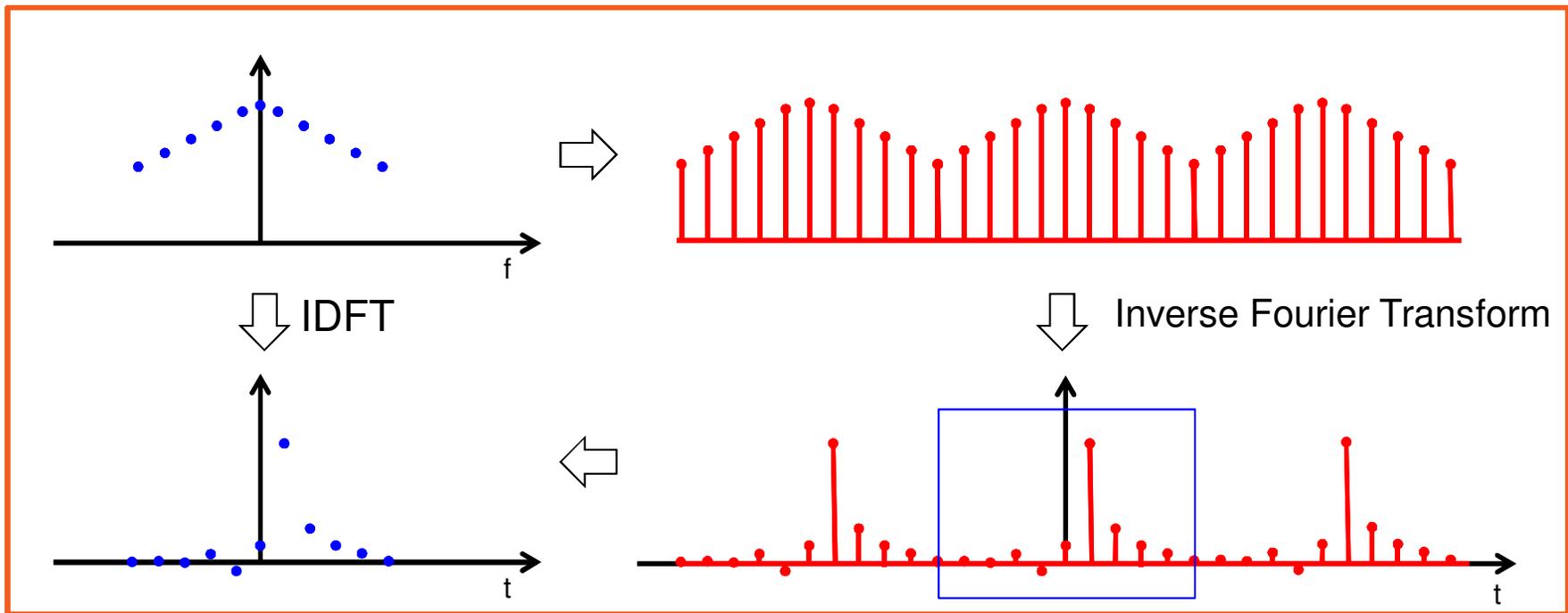
PERFECT CHANNEL, BANDWIDTH LIMITED BY BUTTERWORTH FILTER - Data rate = 25 Gb/s



Maximum model frequency F_{max} drops further.

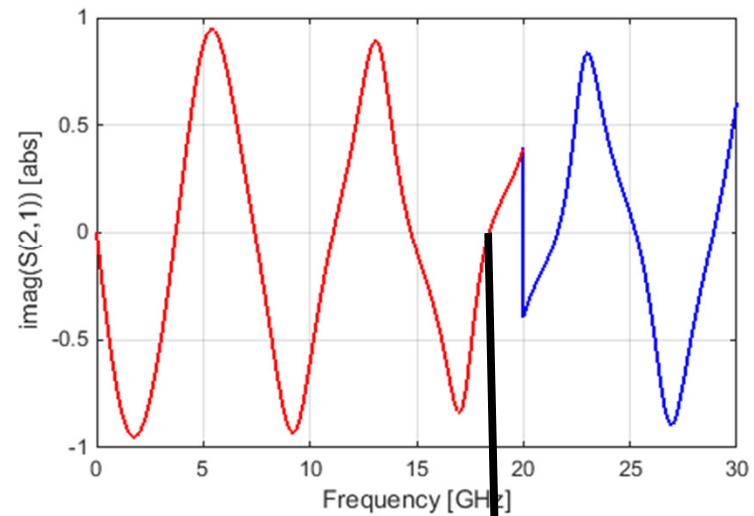
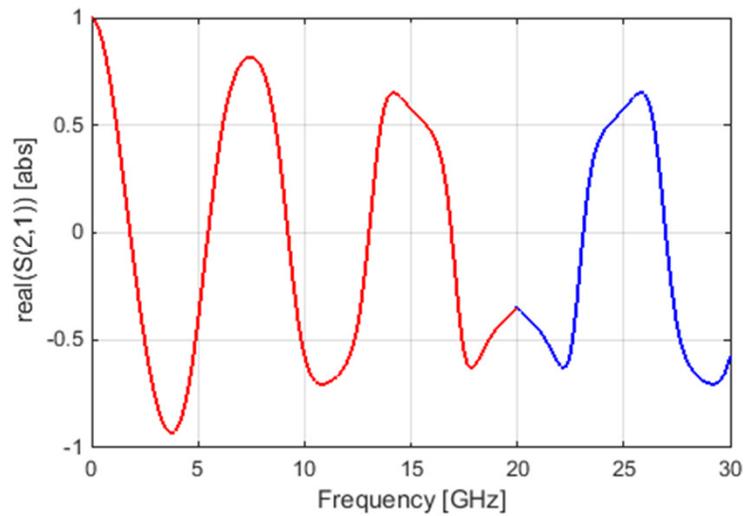
Techniques to minimize ringing

Discrete Fourier Transform vs Fourier Transform

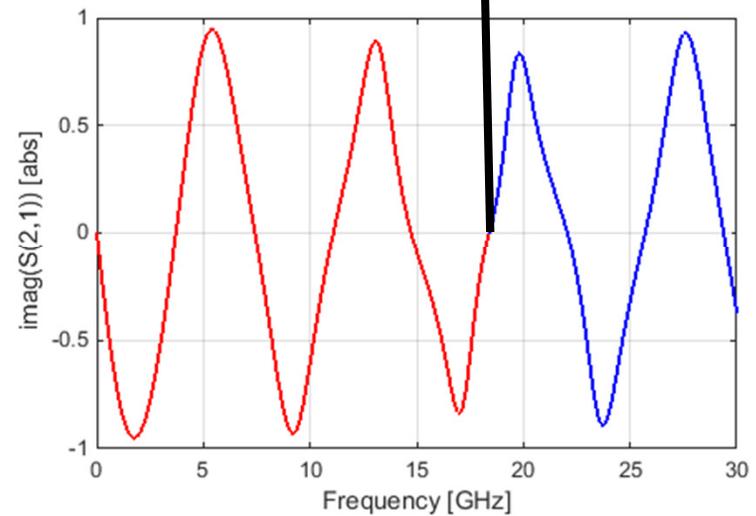
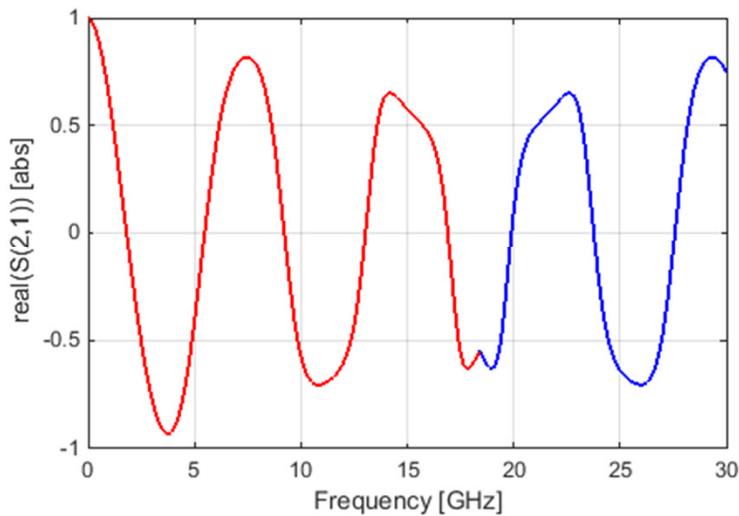


Techniques to minimize ringing - method 1

Bandwidth = 20 GHz

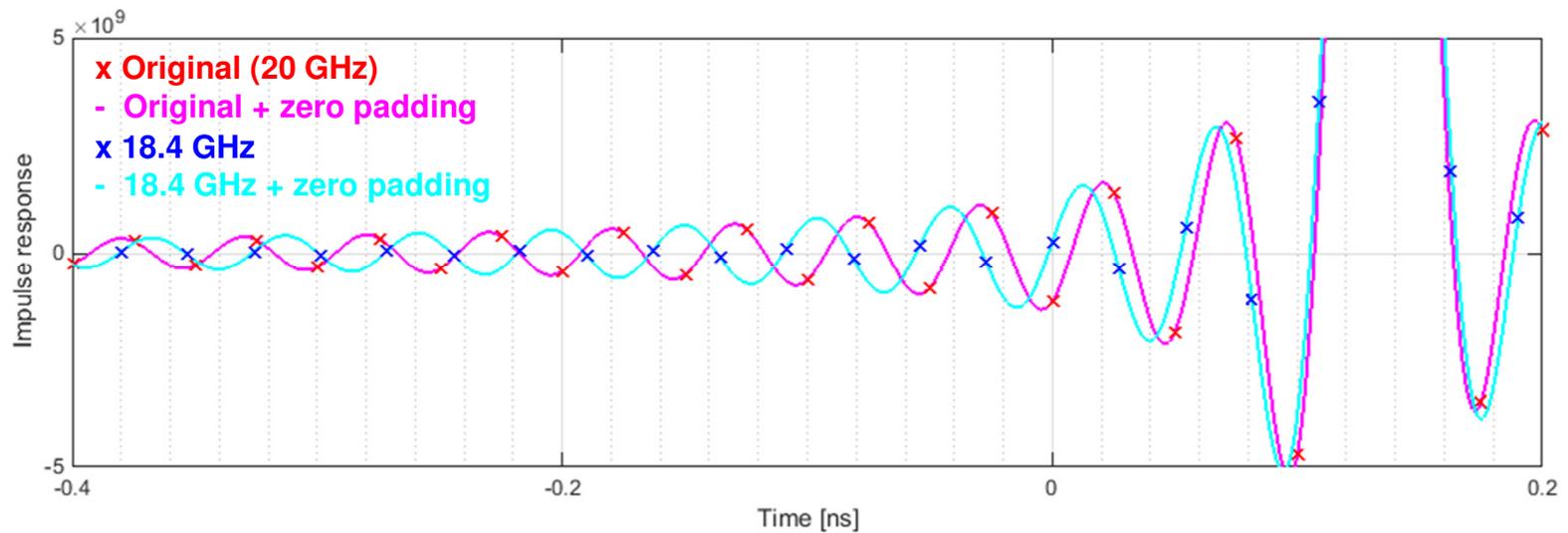
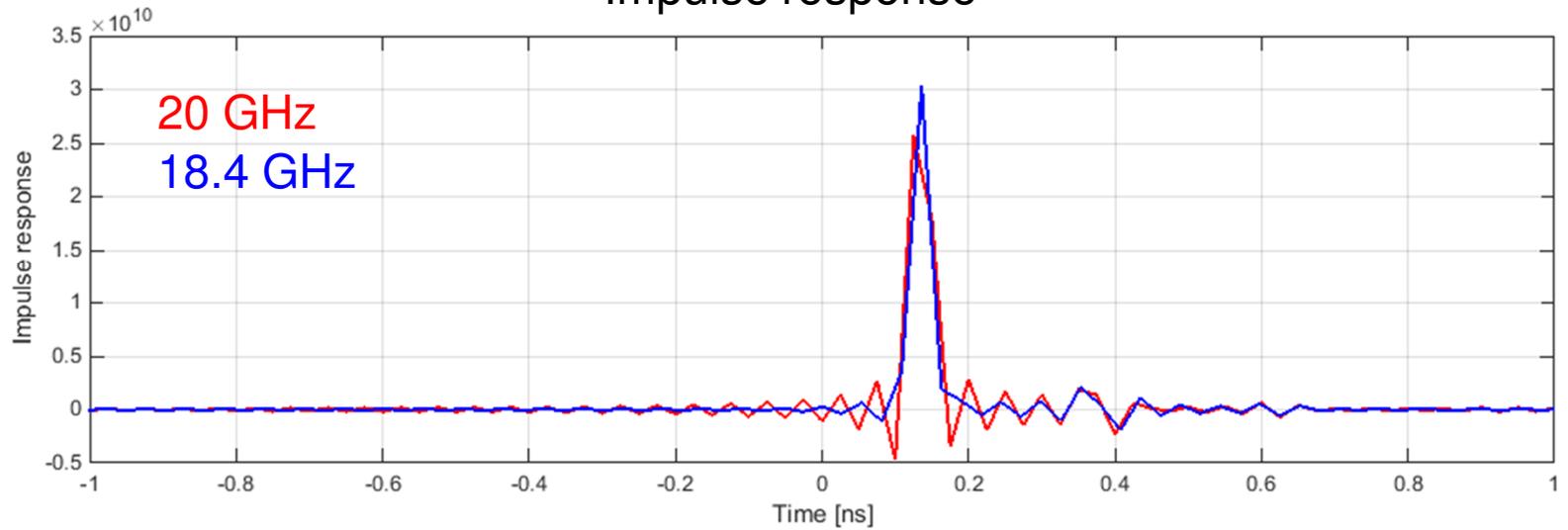


Bandwidth = 18.4 GHz



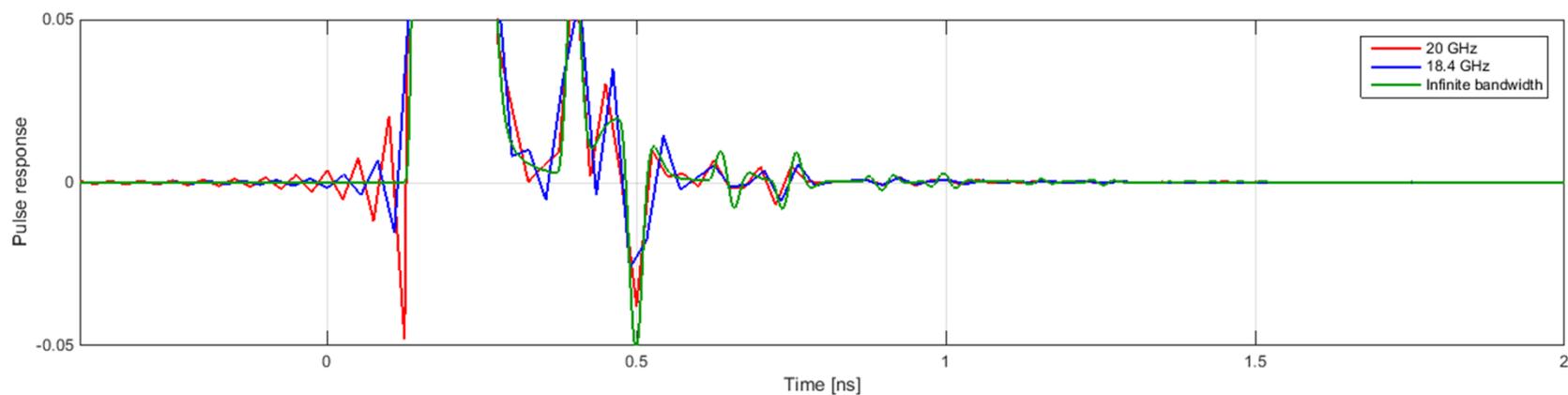
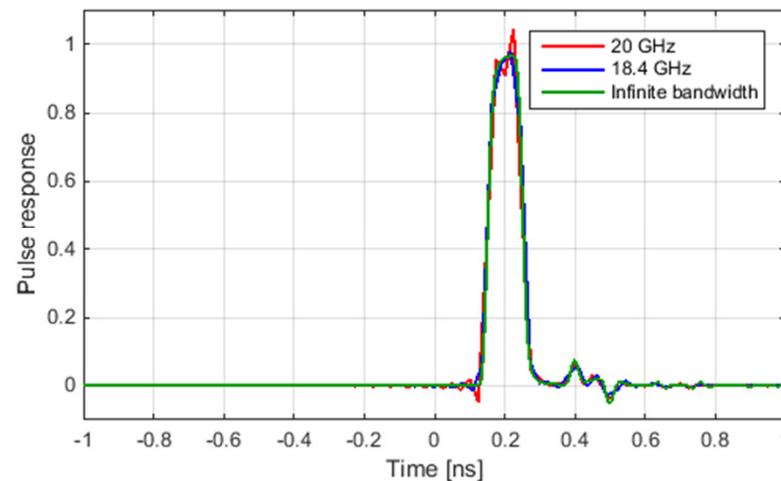
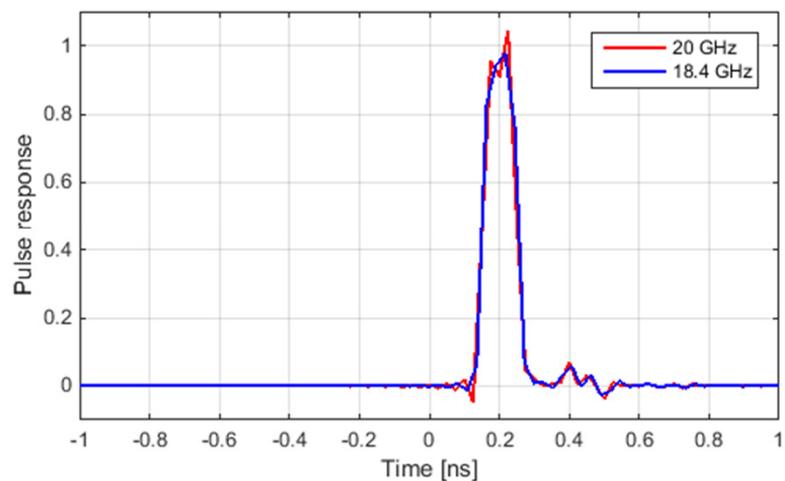
Techniques to minimize ringing - method 1

Impulse response



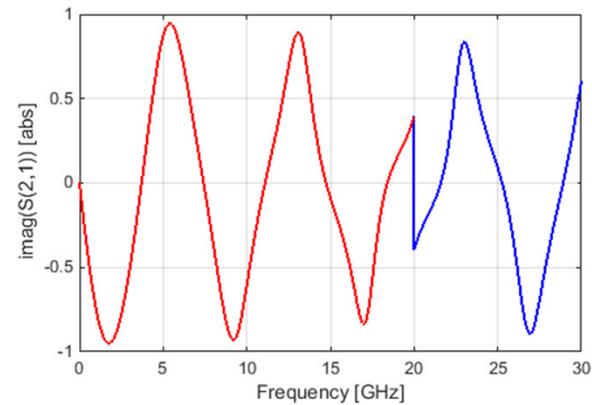
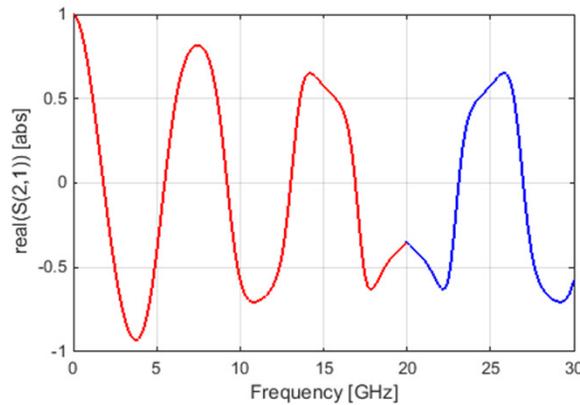
Techniques to minimize ringing - method 1

Pulse response



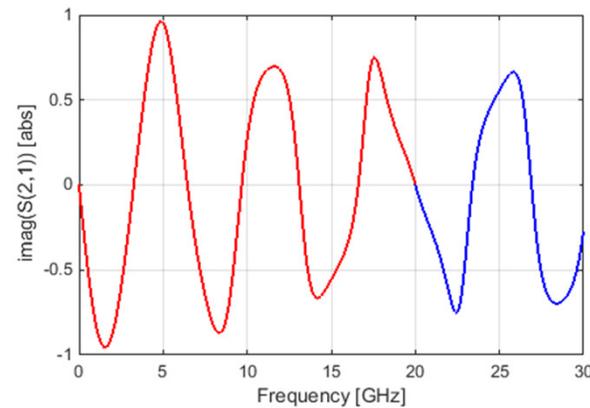
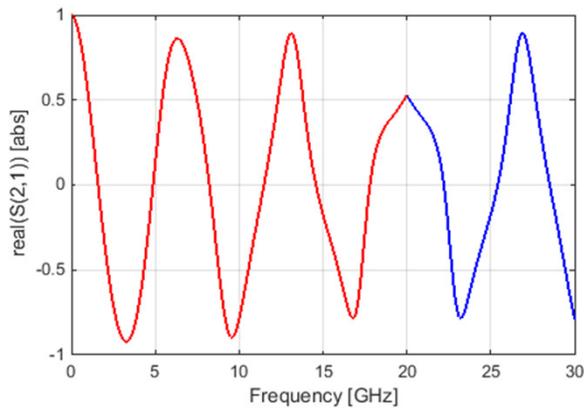
Techniques to minimize ringing - method 2

Bandwidth = 20 GHz

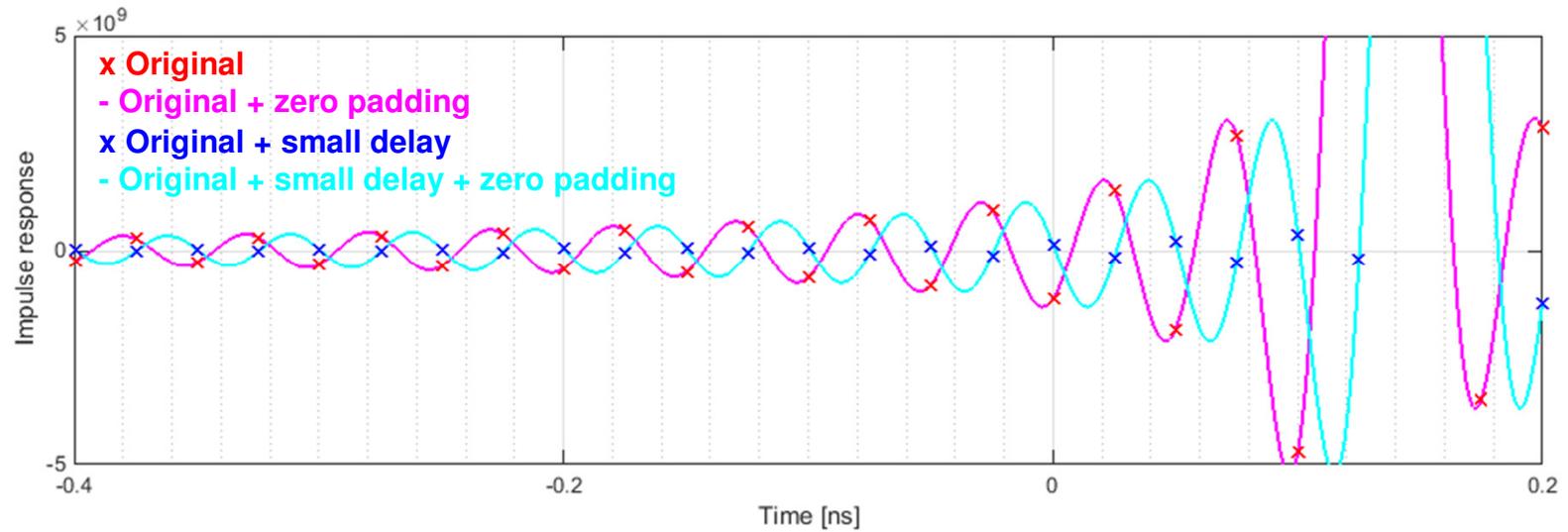
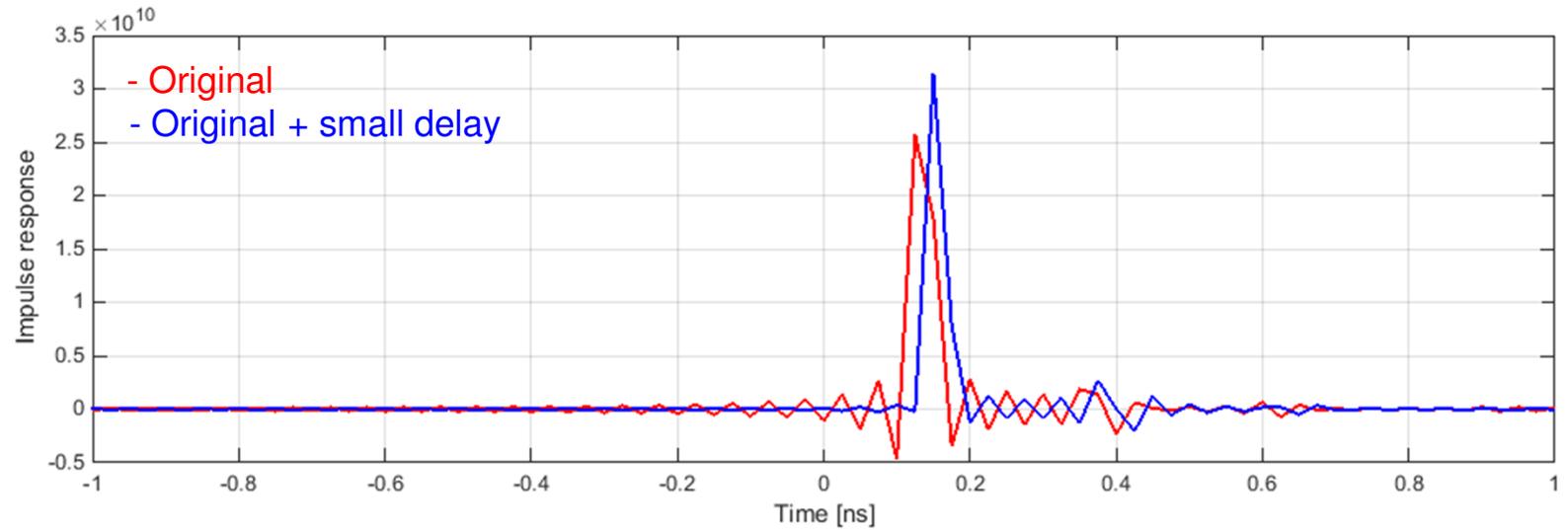


$$S_{2,1}^{\text{new}}(f) = S_{2,1}(f) \cdot e^{-j\omega\tau} \quad \tau = \alpha\Delta t \quad 0 \leq \alpha < 1$$

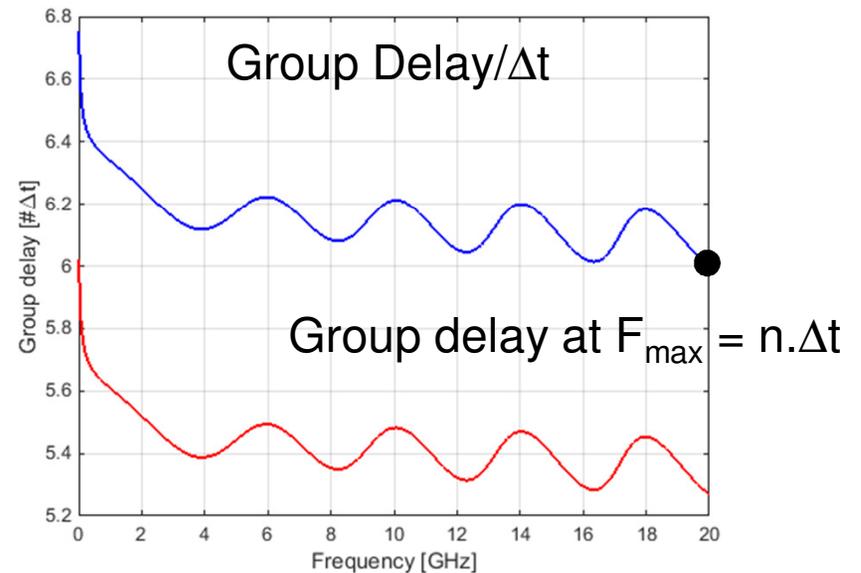
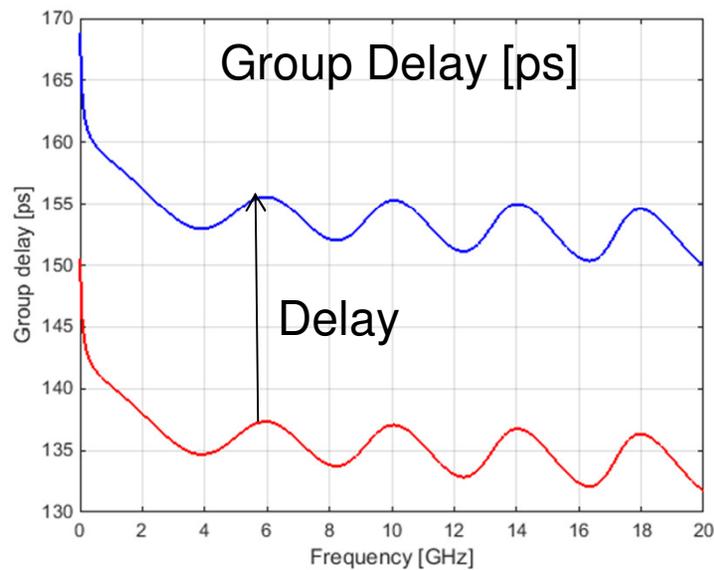
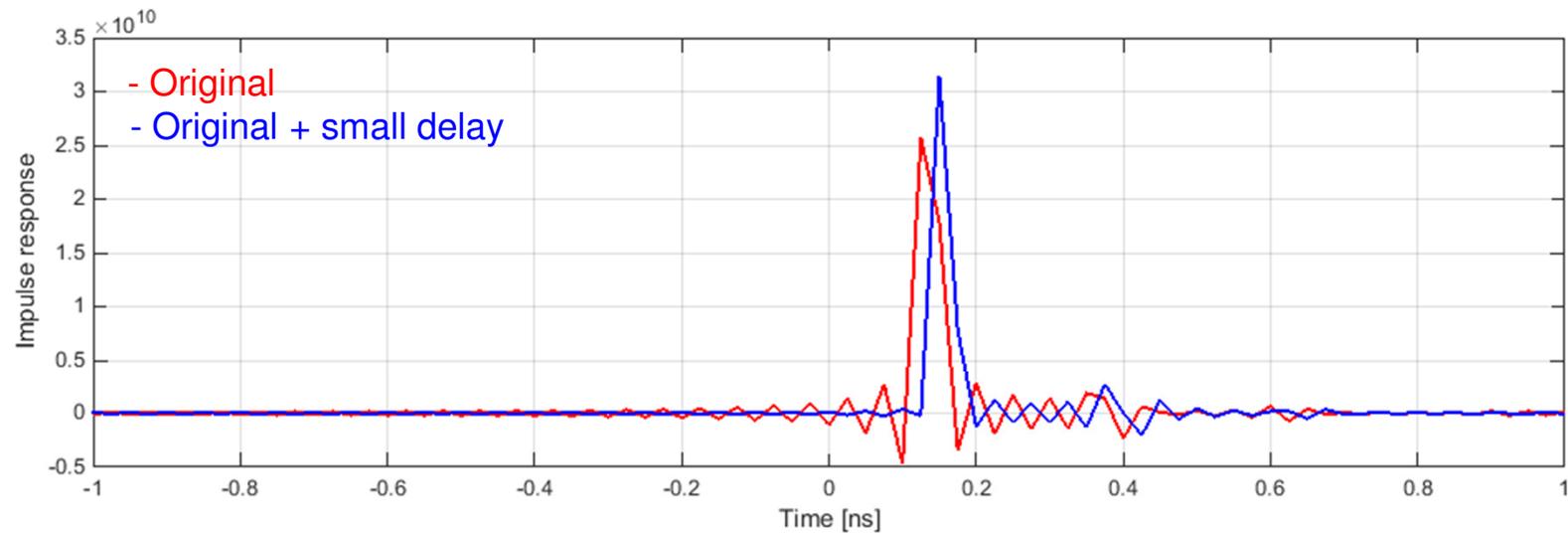
Bandwidth = 20 GHz



Techniques to minimize ringing - method 2

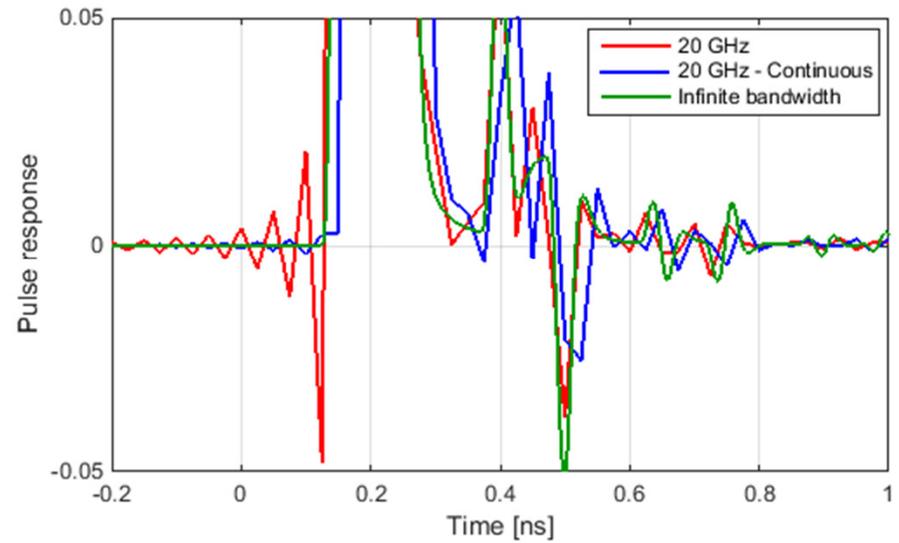
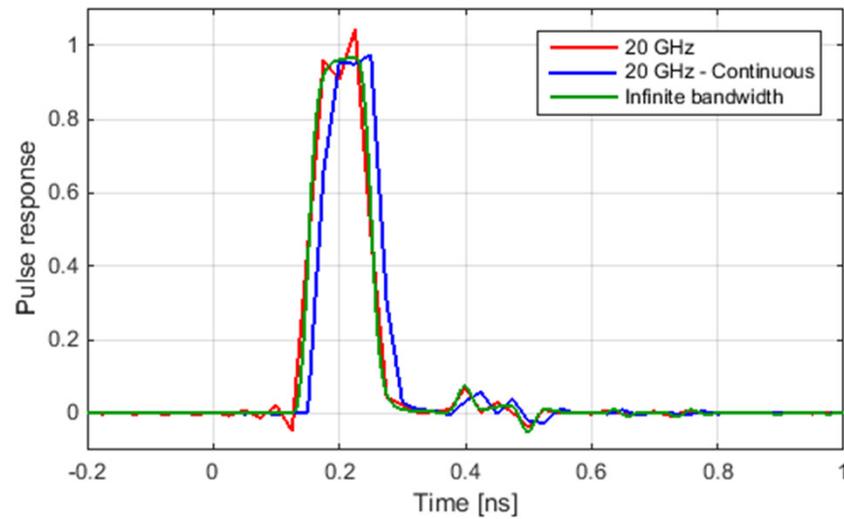


Techniques to minimize ringing - method 2



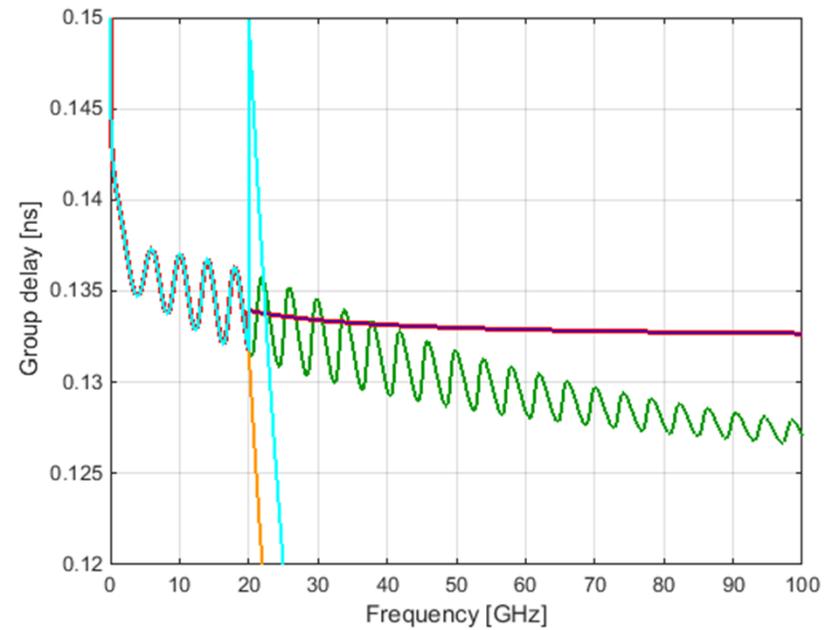
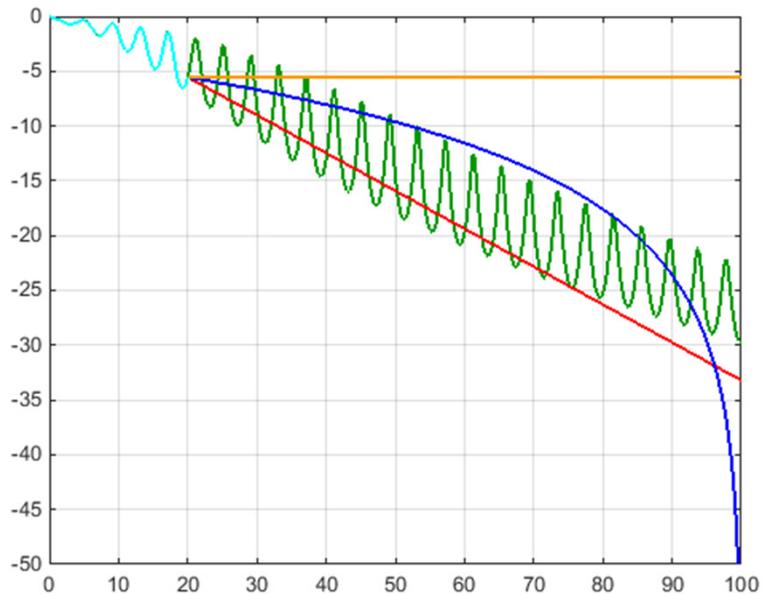
Techniques to minimize ringing - method 2

Pulse response



Techniques to reduce ringing - increase resolution

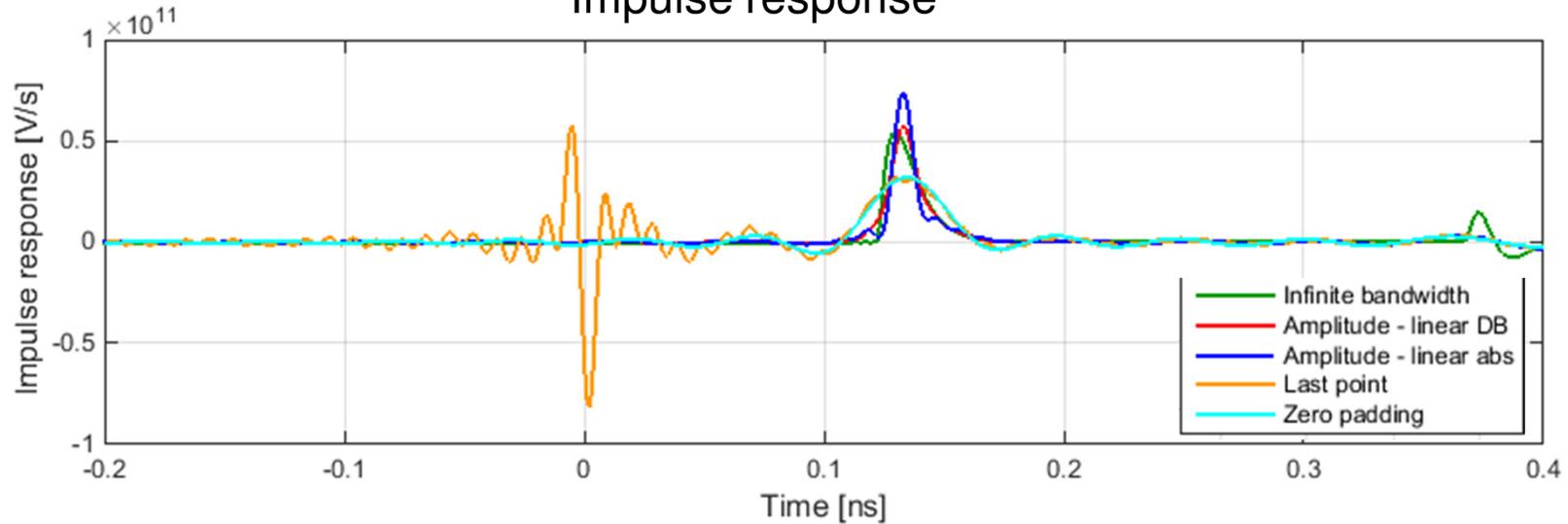
Extrapolation to 100 GHz



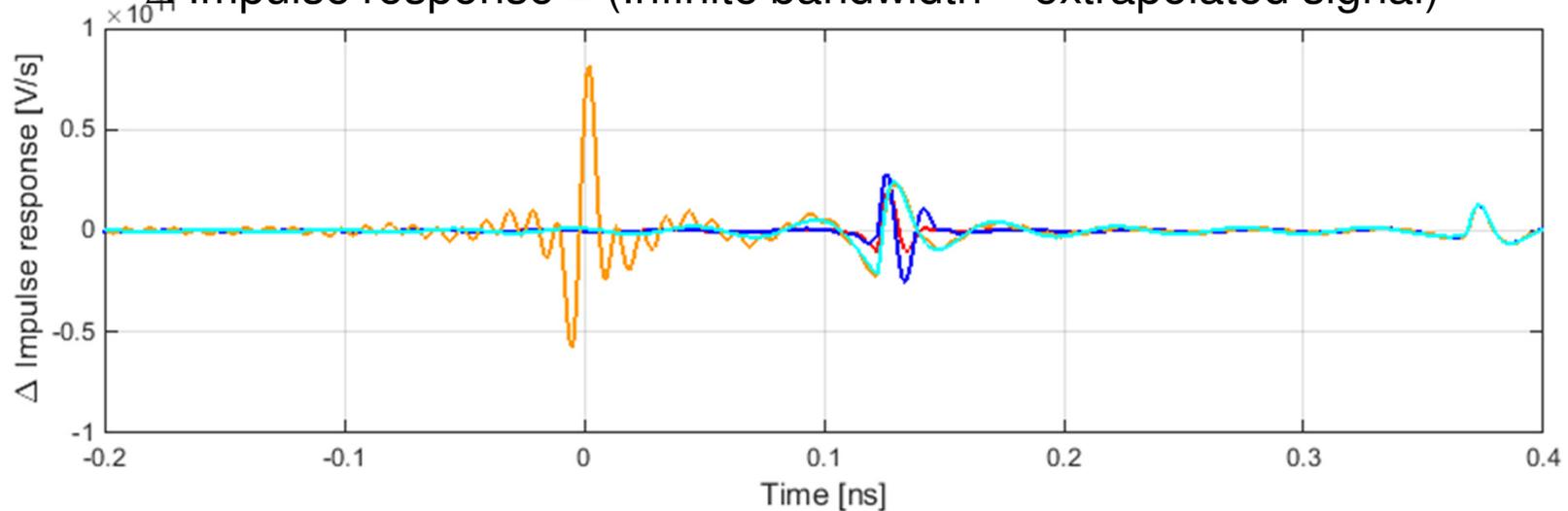
- Infinite bandwidth
- Amplitude - linear DB
- Amplitude - linear abs
- Last point
- Zero padding

Techniques to reduce ringing - increase resolution

Impulse response

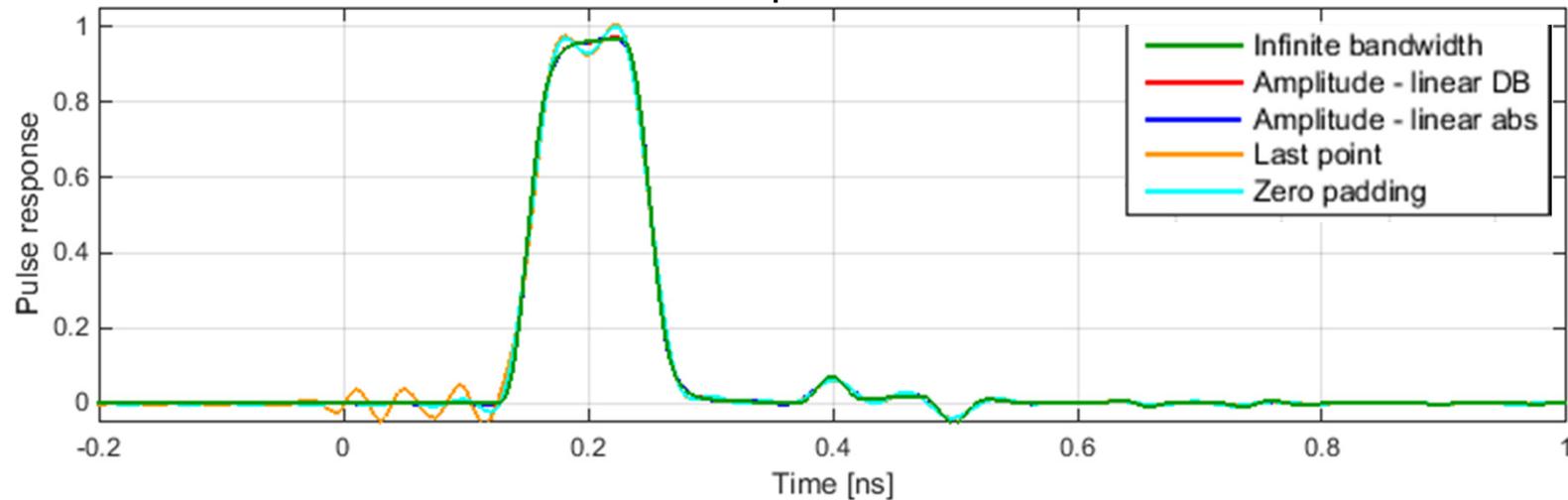


Δ Impulse response = (Infinite bandwidth – extrapolated signal)

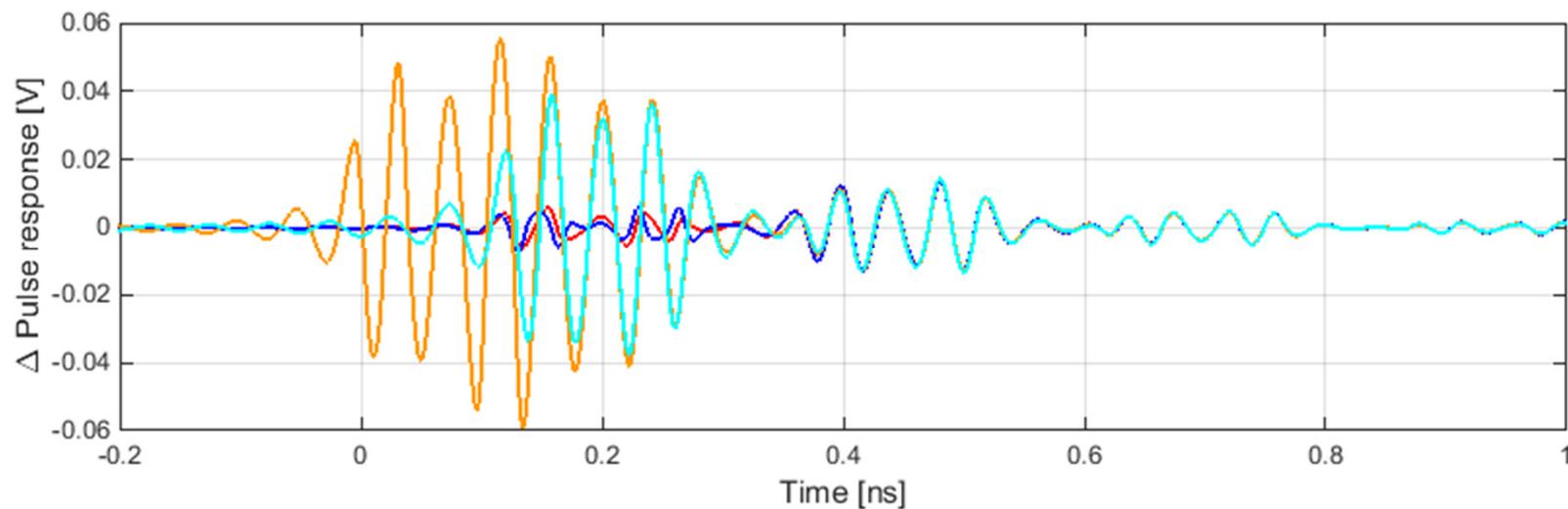


Techniques to reduce ringing - increase resolution

Pulse response



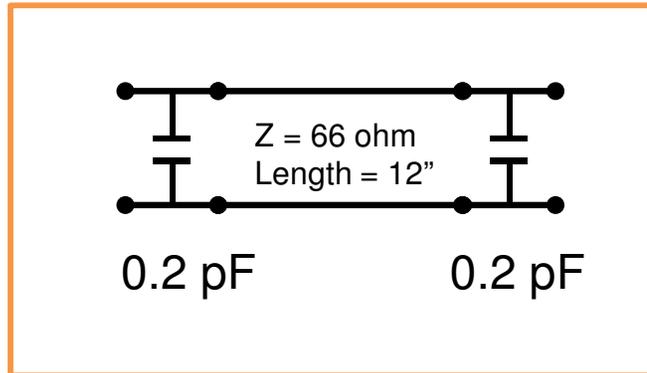
Δ Pulse response = Infinite bandwidth – extrapolated signal



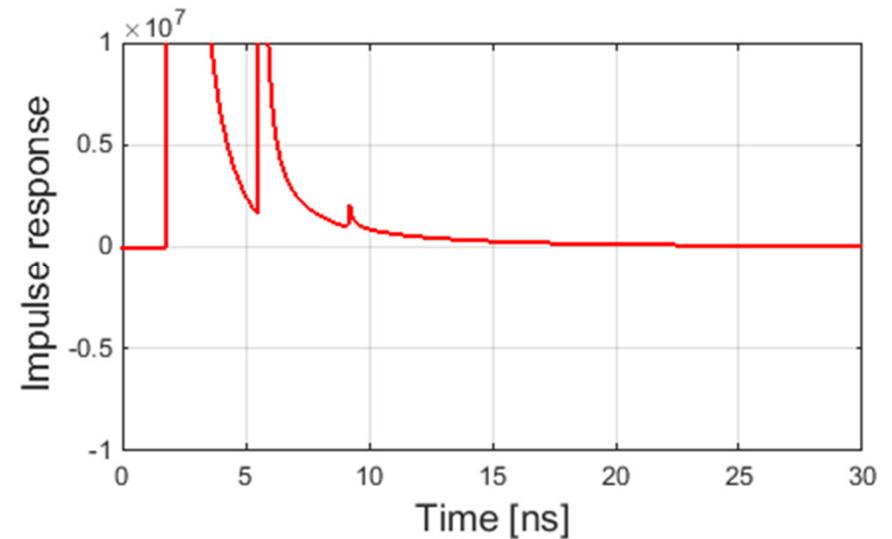
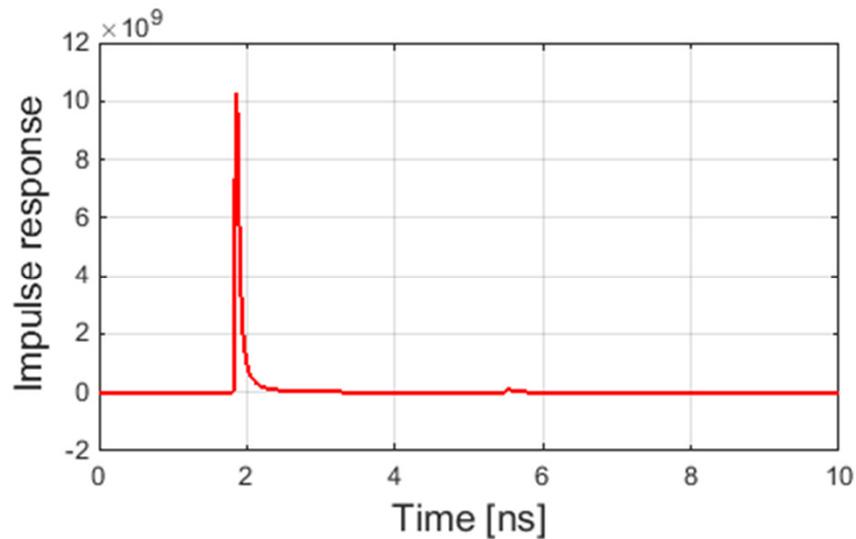
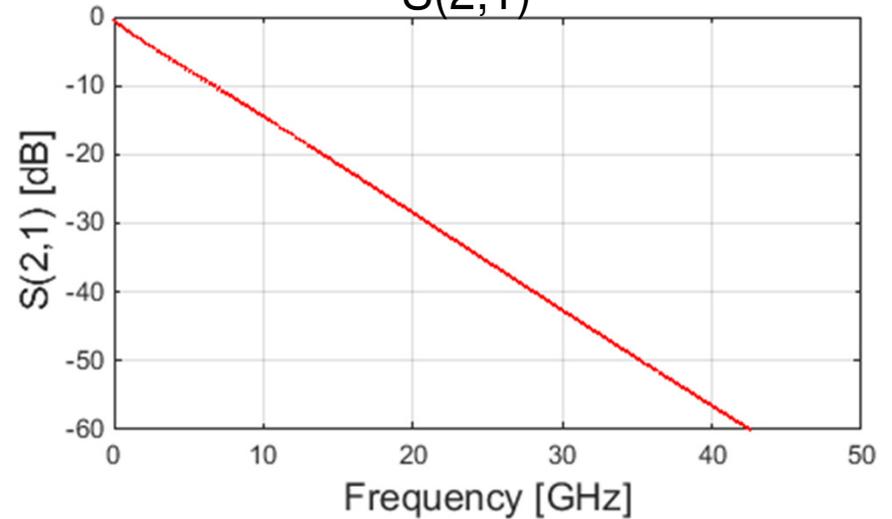


*Causality issues due to
discretization*

Frequency domain resolution

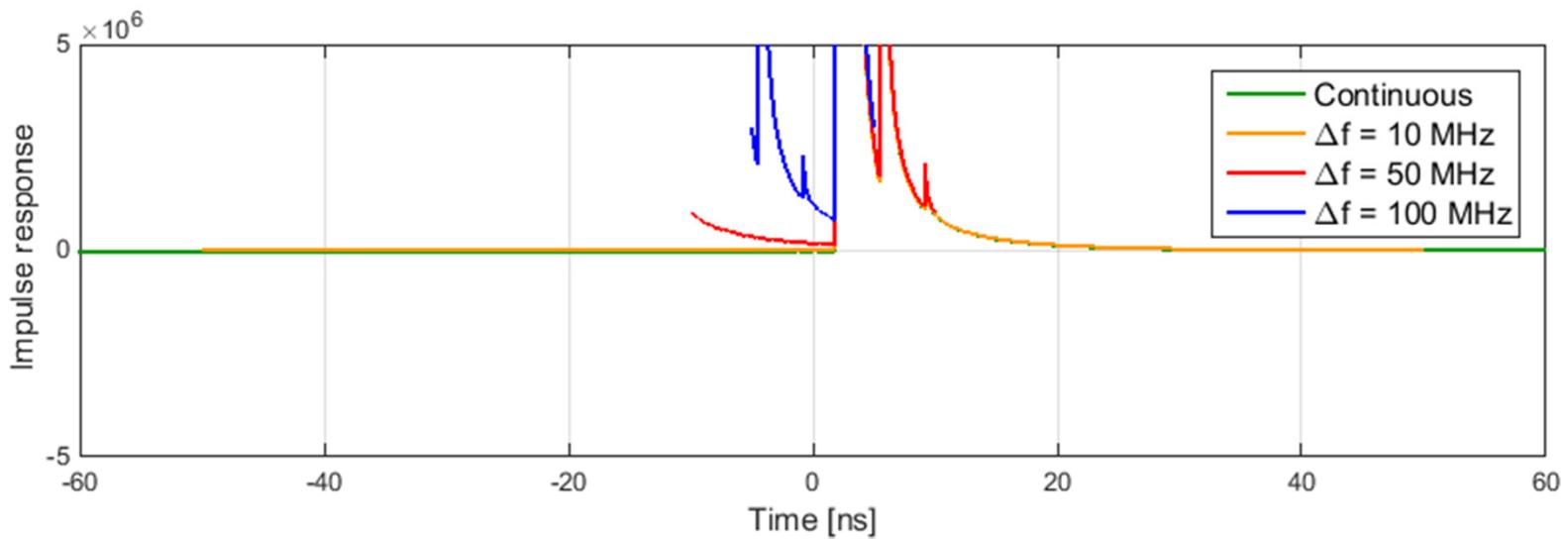
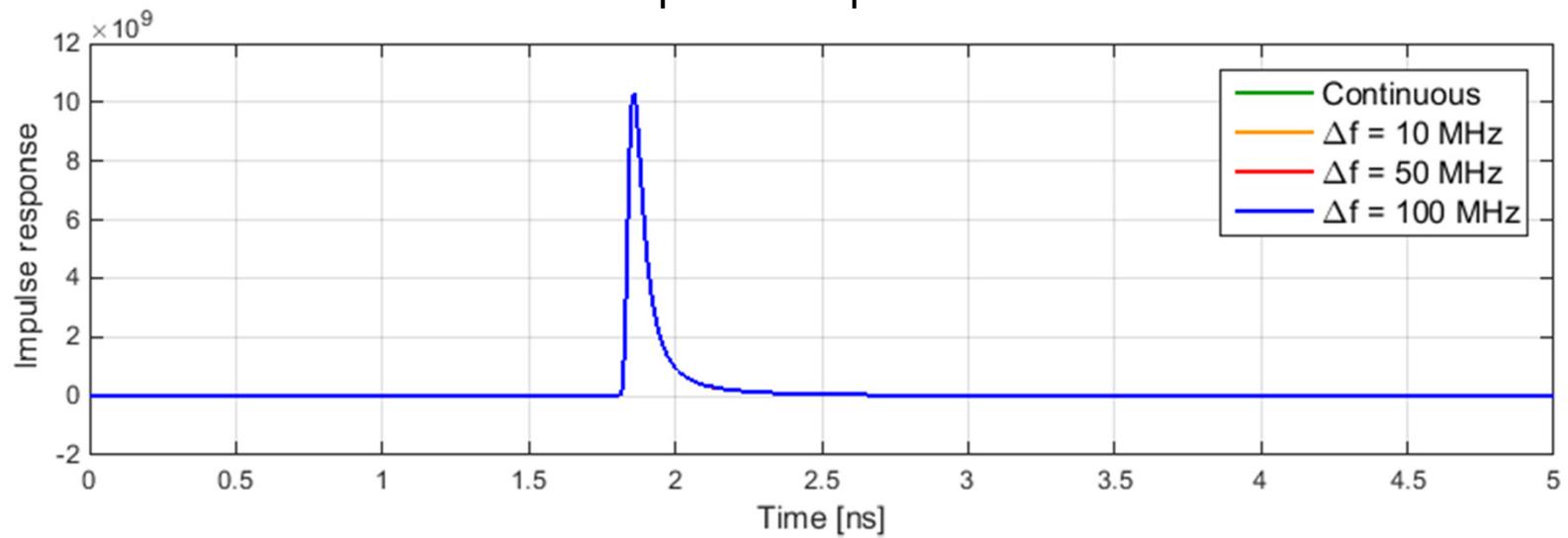


S(2,1)



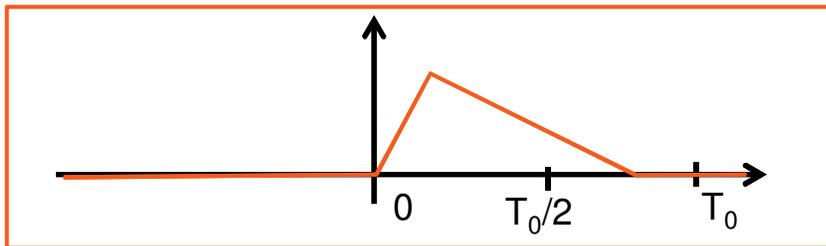
Frequency domain resolution

Impulse response

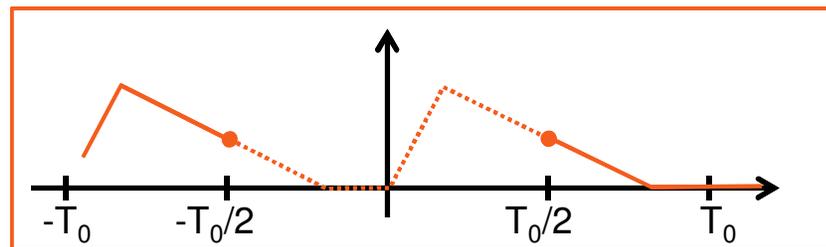


Frequency domain resolution

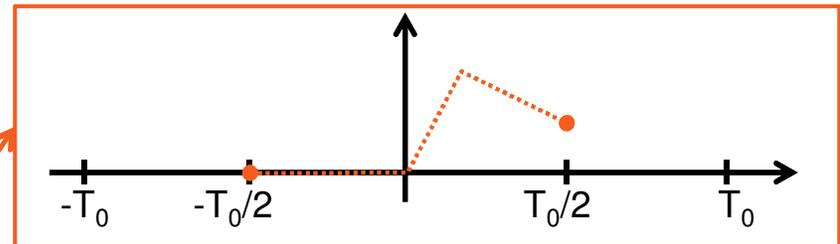
Continuous, infinite bandwidth



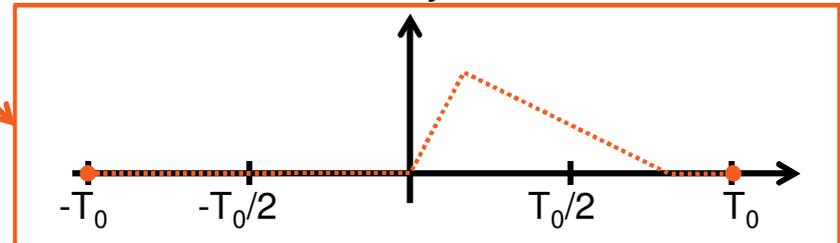
Discrete, bandwidth limited



Blind causality enforcement

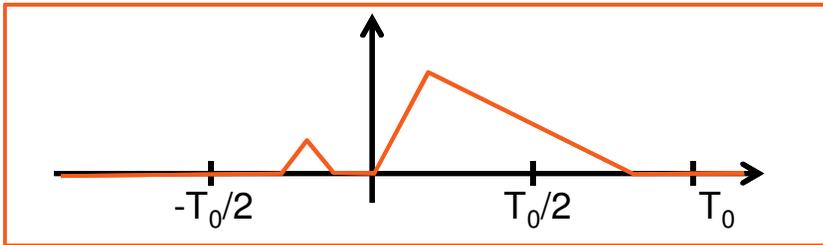


Smart causality enforcement

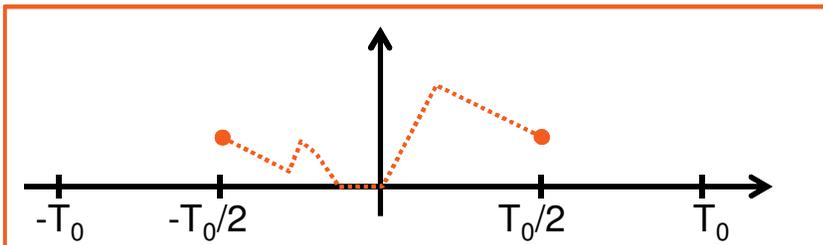


Frequency domain resolution

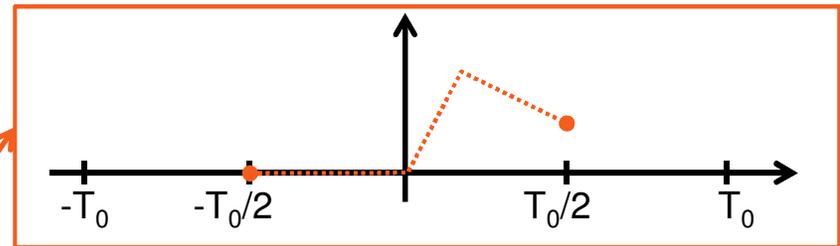
Continuous, infinite bandwidth



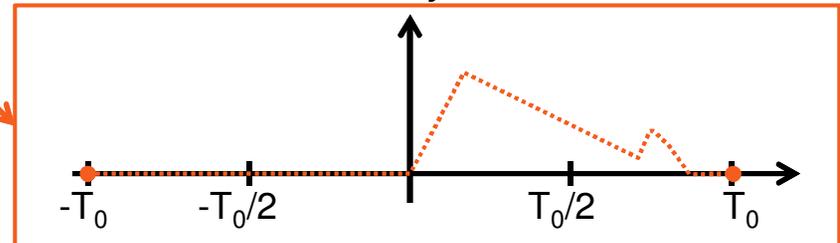
Discrete, bandwidth limited



Blind causality enforcement



Smart causality enforcement

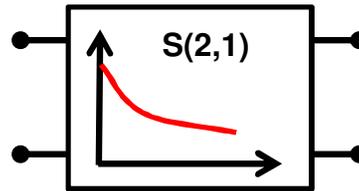




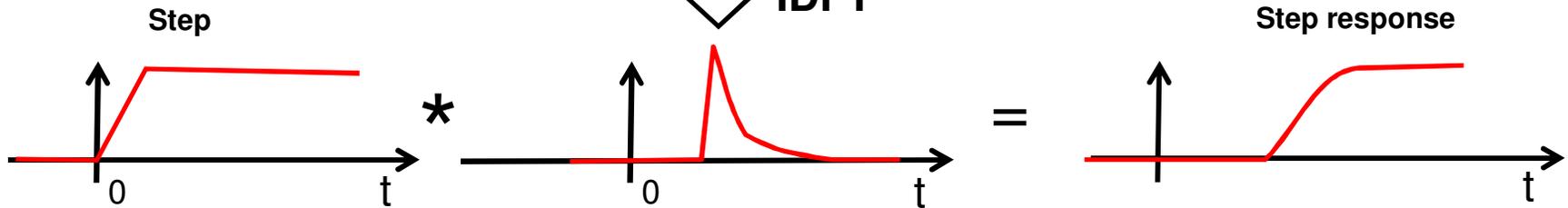
Step response

Calculation step response

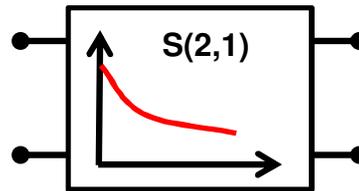
Time domain convolution



↓ IDFT

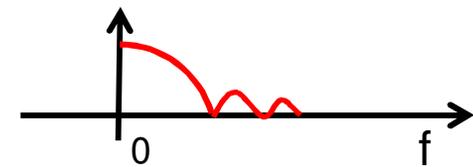


Spectrum step



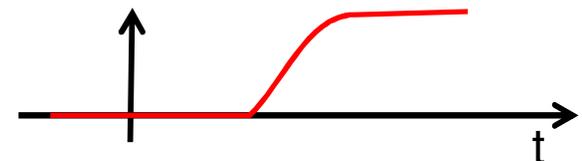
=

Spectrum step response



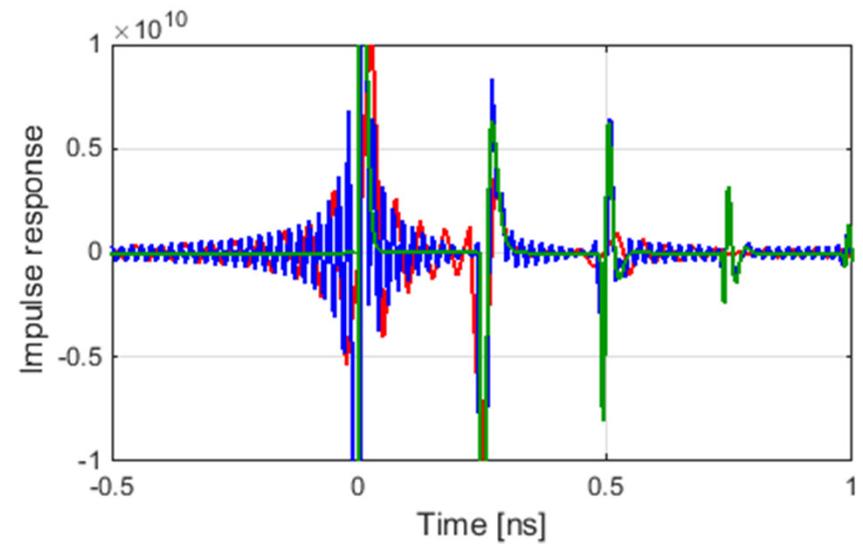
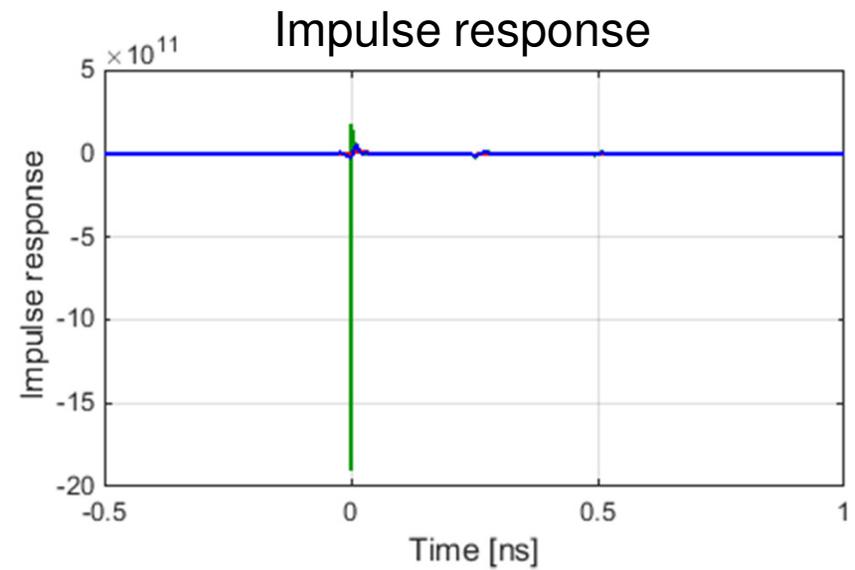
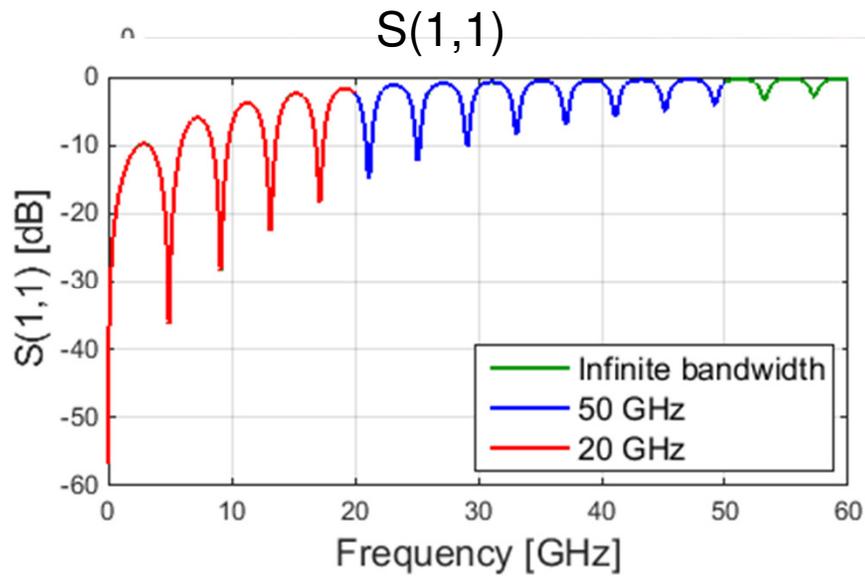
↓ IDFT

=

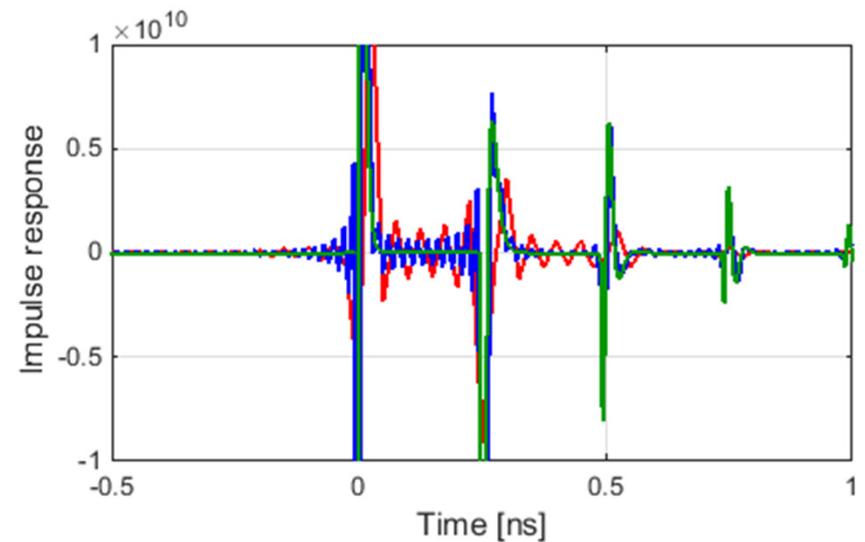
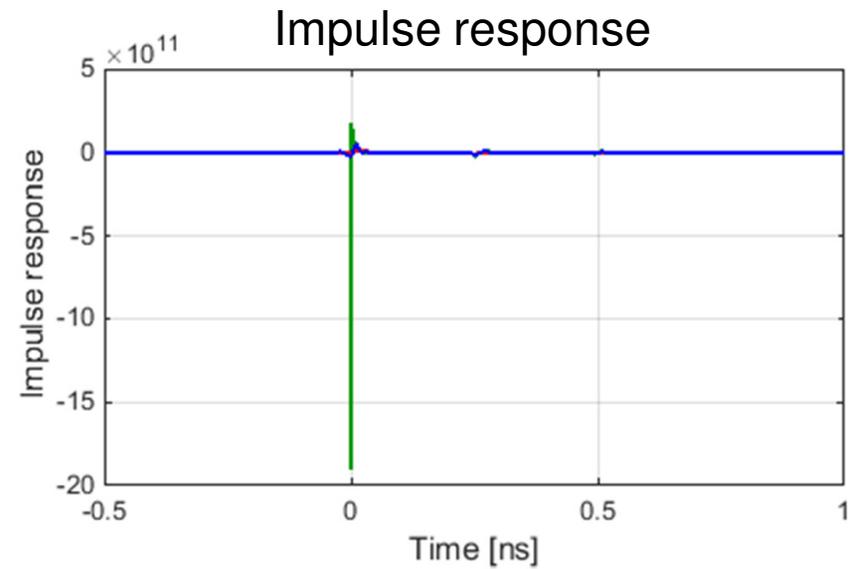
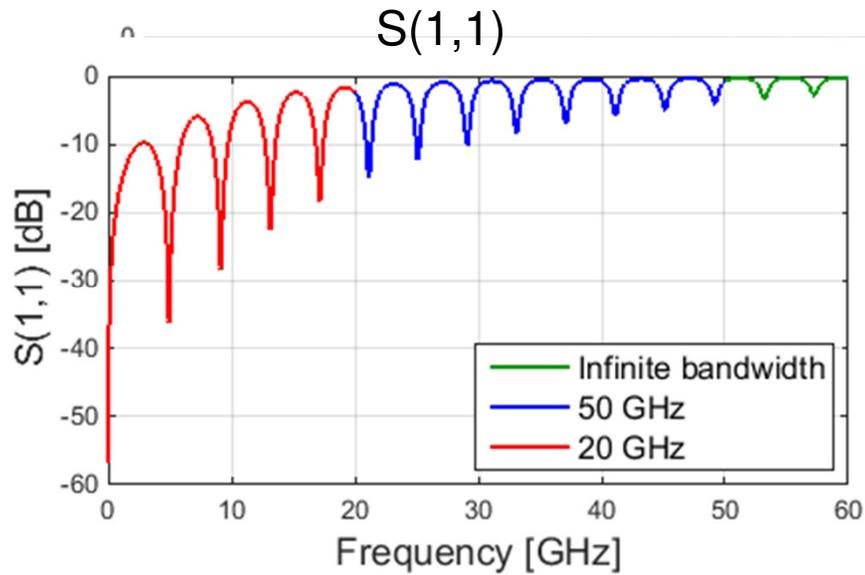


Frequency domain multiplication

Calculation step response: example

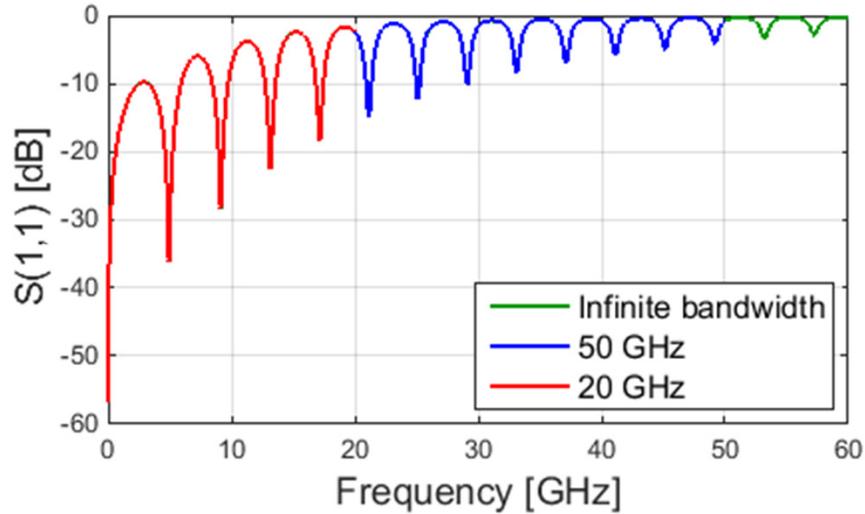


Calculation step response: example

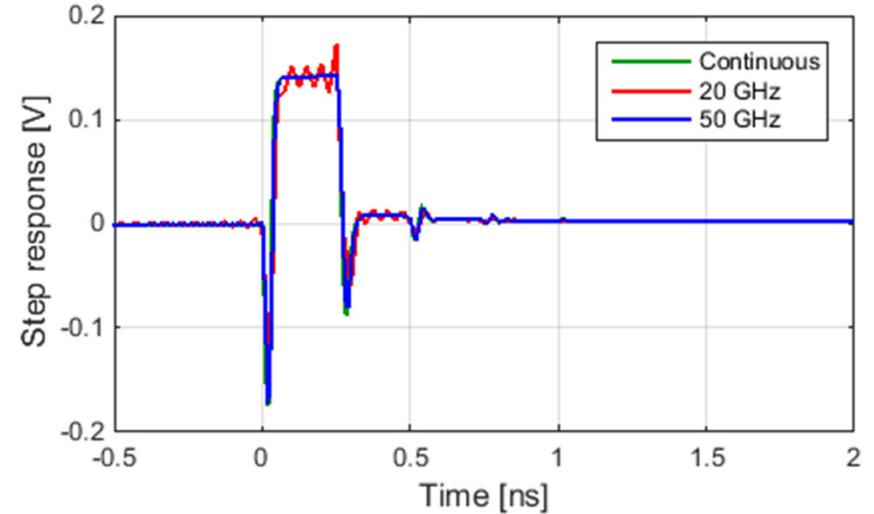


Calculation step response: example

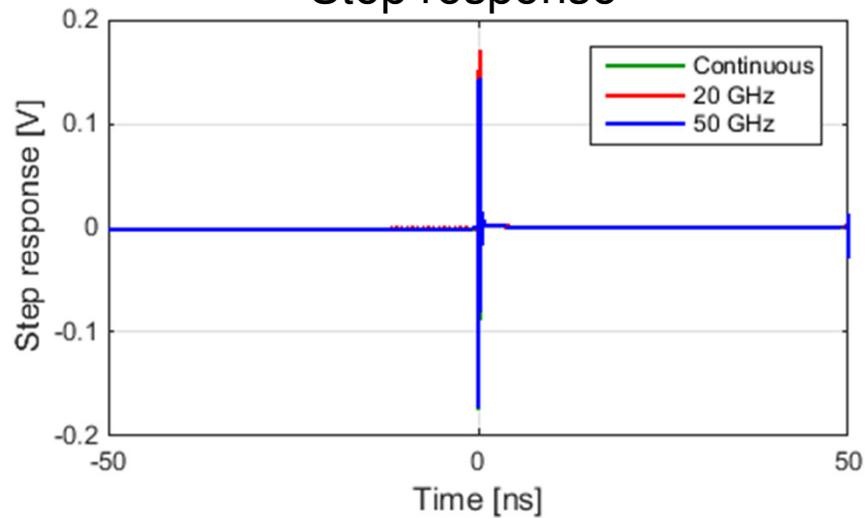
S(1,1)



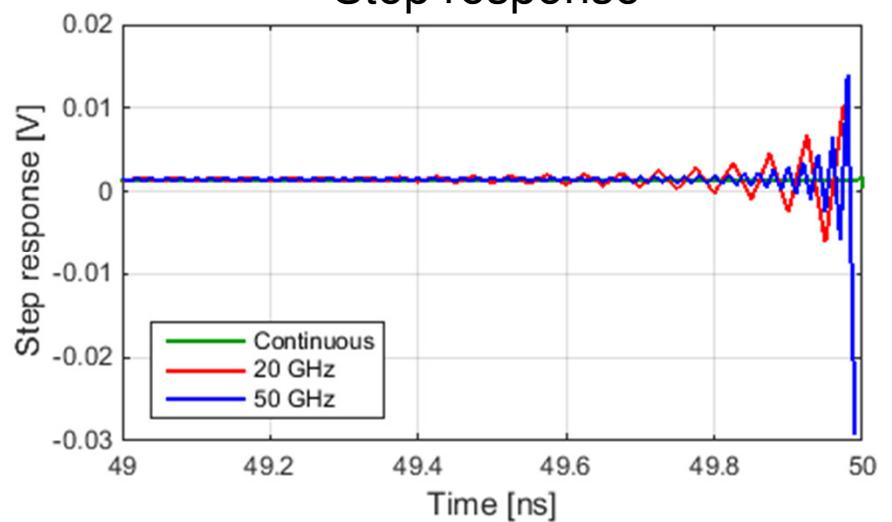
Step response



Step response

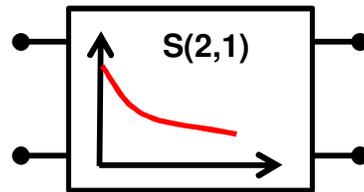


Step response

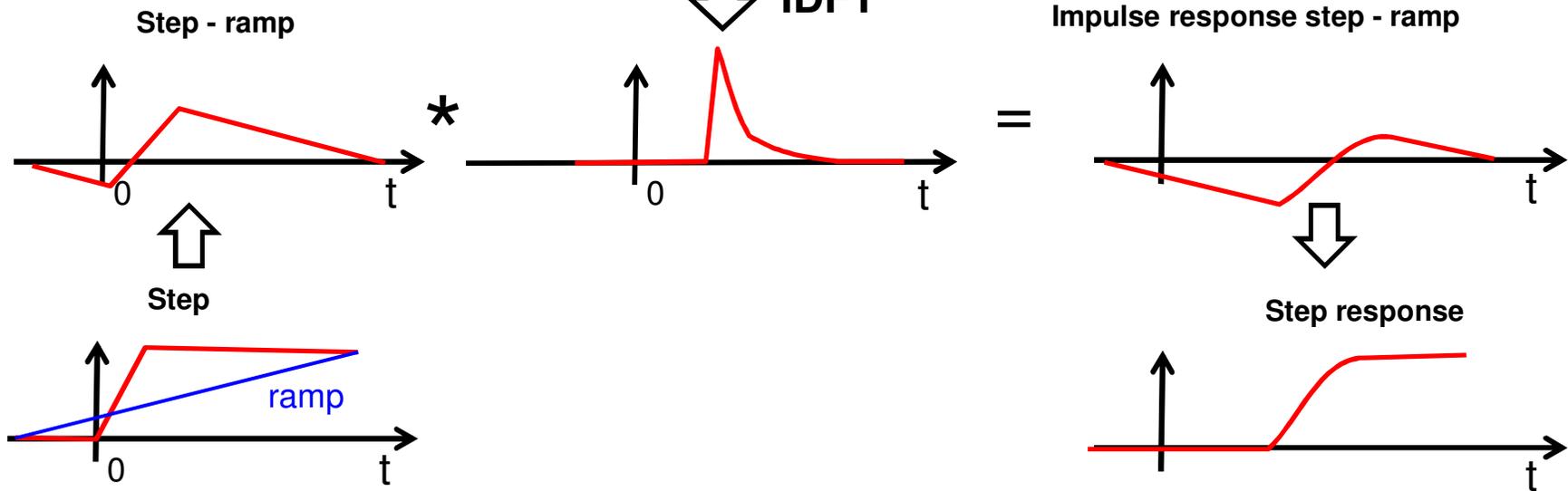


Calculation step response

Time domain convolution



↓ IDFT

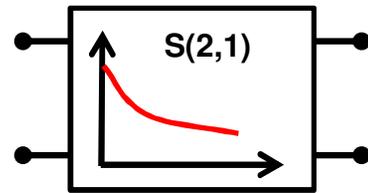


Calculation step response

$$\text{step}(t) = \int_{-\infty}^t \text{impulse}(\tau) \cdot d\tau$$

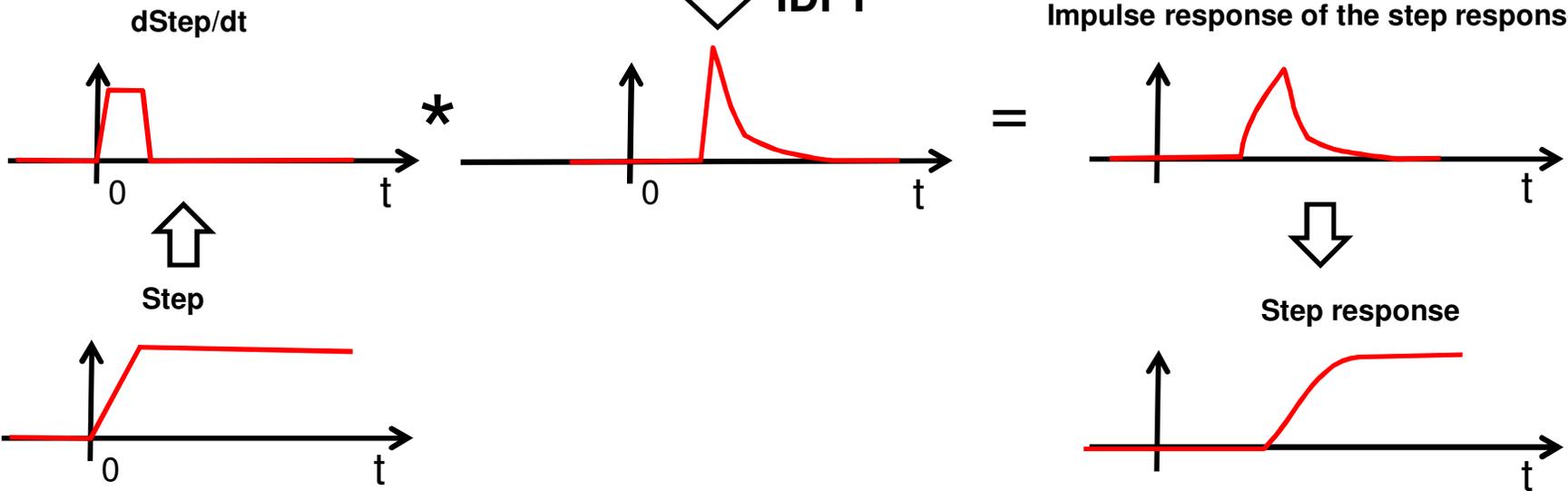
$$\text{impulse}(t) = \frac{d\text{step}(t)}{dt}$$

Time domain convolution

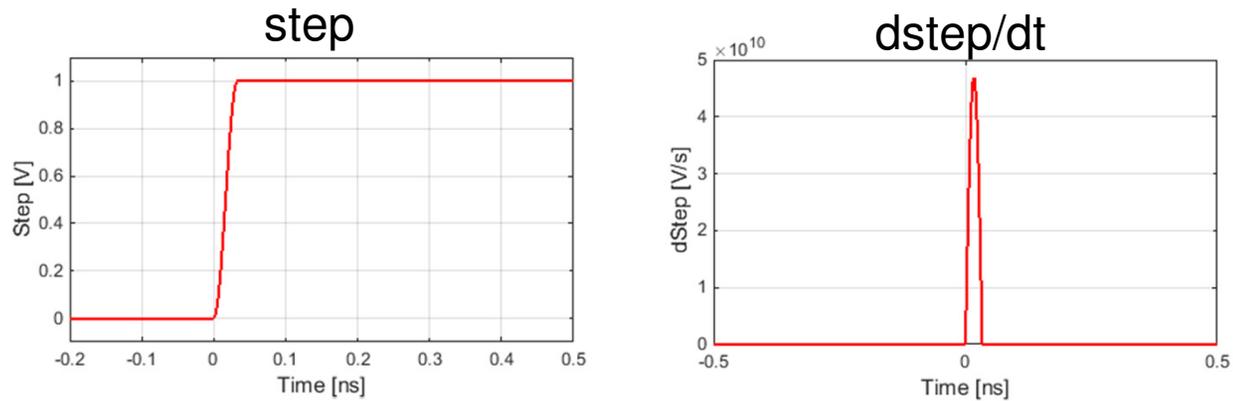


↓ IDFT

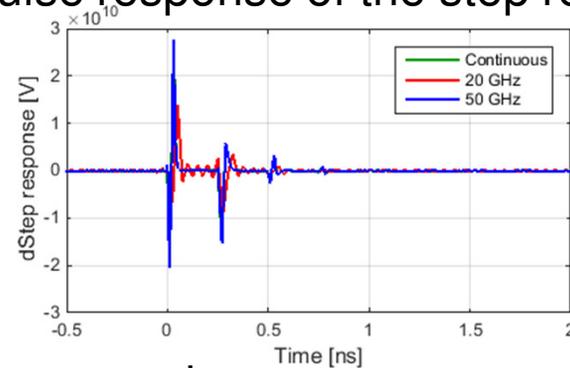
Impulse response of the step response



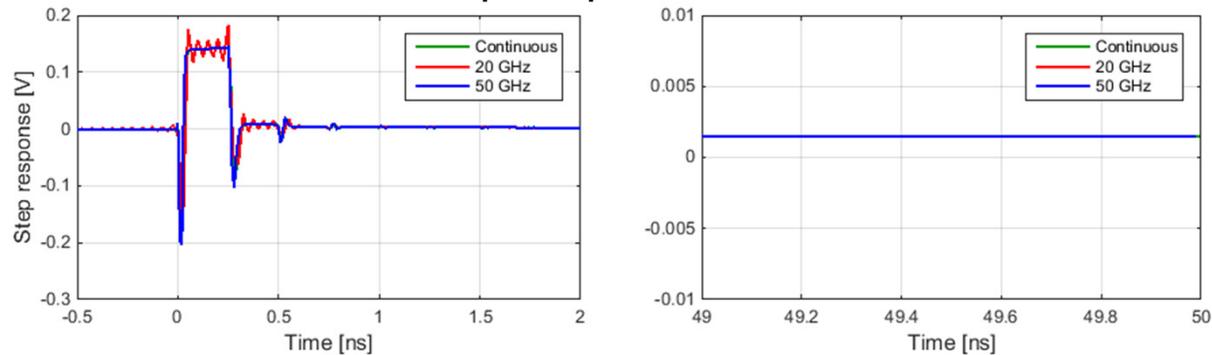
Calculation step response



Impulse response of the step response



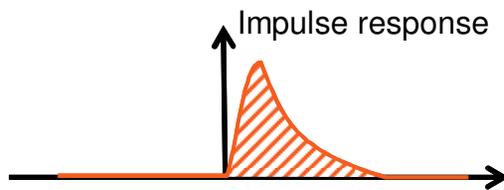
step response



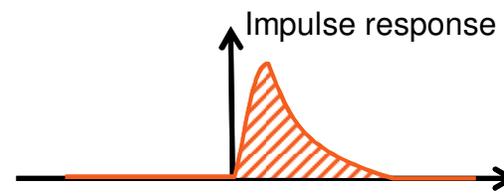
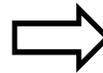
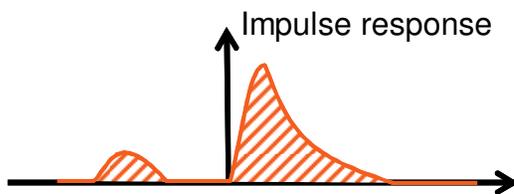


***Impact on DC value
when causality is enforced***

DC value and causality enforcement



$$\text{DC} = \int_{-\infty}^{+\infty} \text{impulse response}(t) dt$$

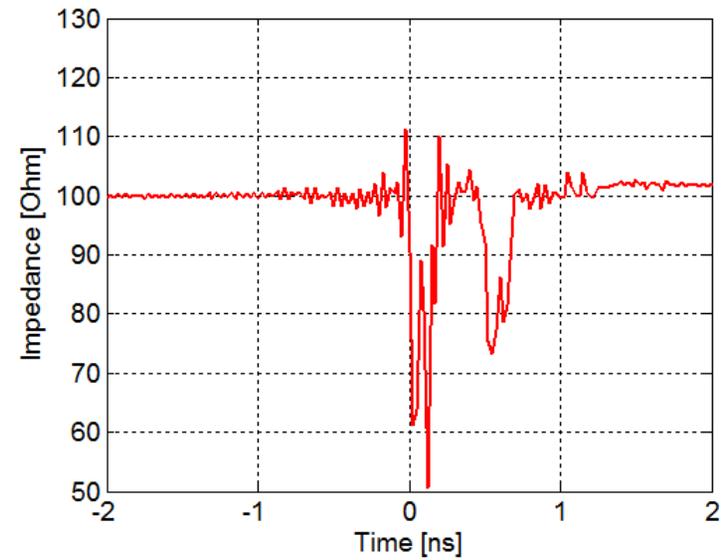
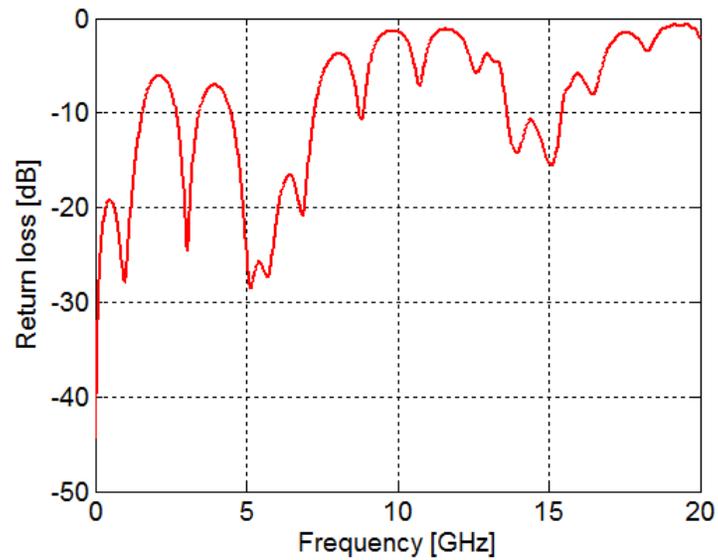


$$\text{new DC} = \int_{-\infty}^{+\infty} \text{impulse response}(t) dt - \int_{-\infty}^0 \text{impulse response}(t) dt$$

DC-value changes if causality is enforced and $\int_{-\infty}^0 \text{impulse response}(t) dt \neq 0$

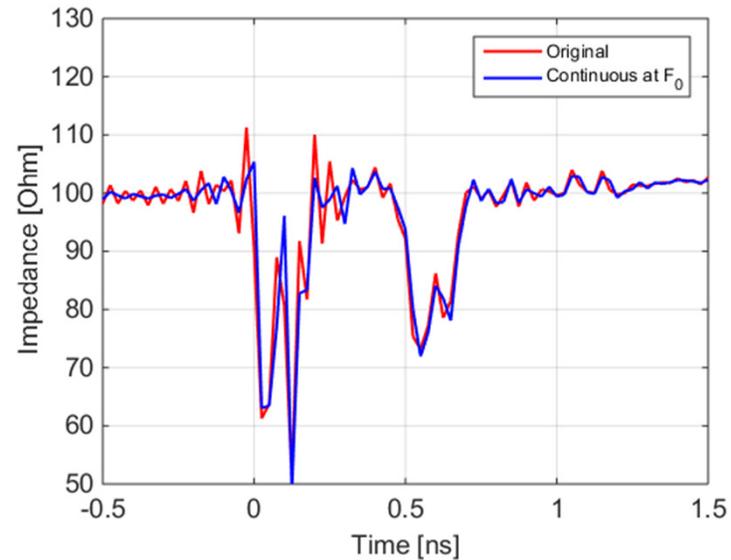
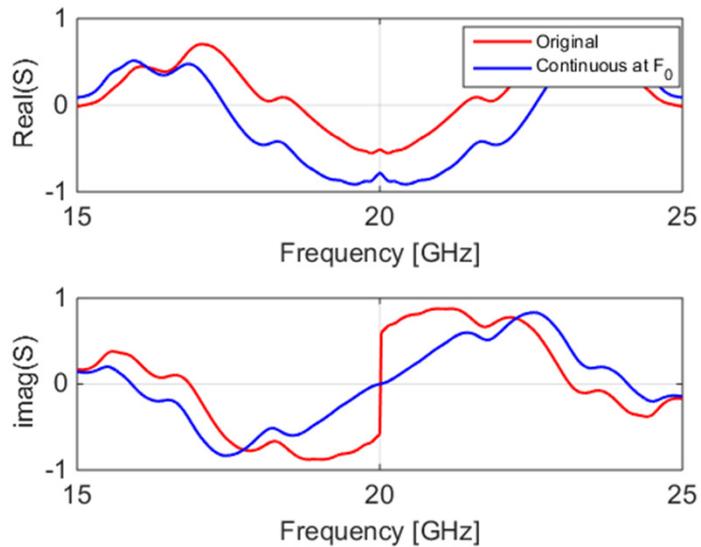
DC value and causality enforcement

Measurement of connector and footprint: impedance is not-causal



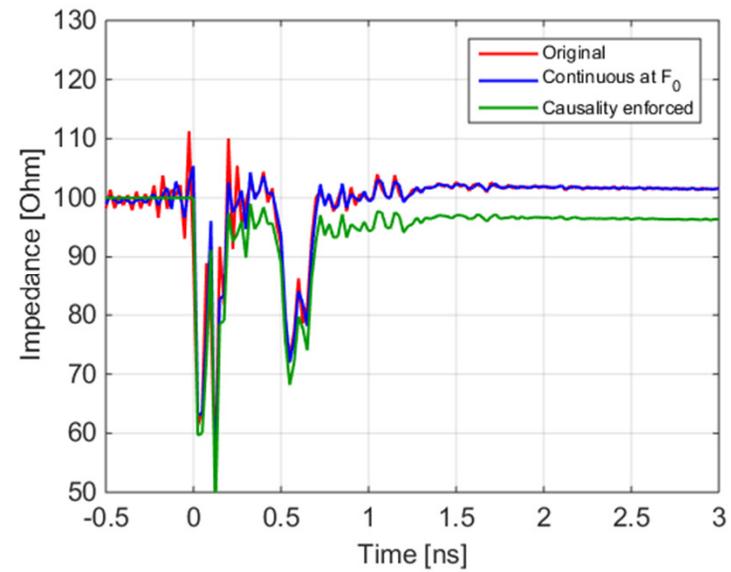
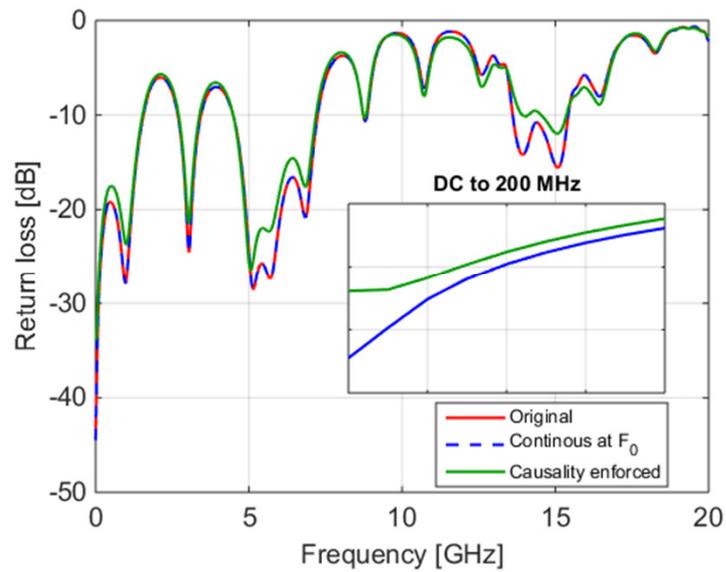
DC value and causality enforcement

Step 1) Reduce ringing: make signal continuous at F_0 :

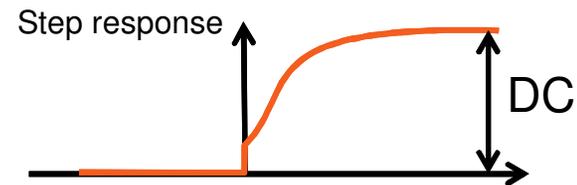
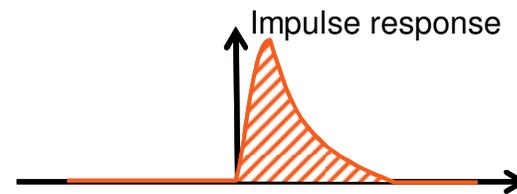
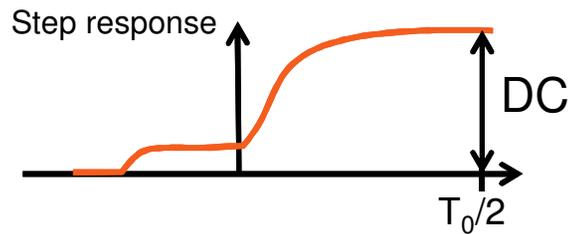
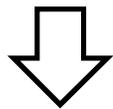
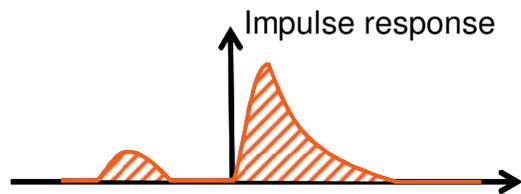


DC value and causality enforcement

Step 2) Enforce causality

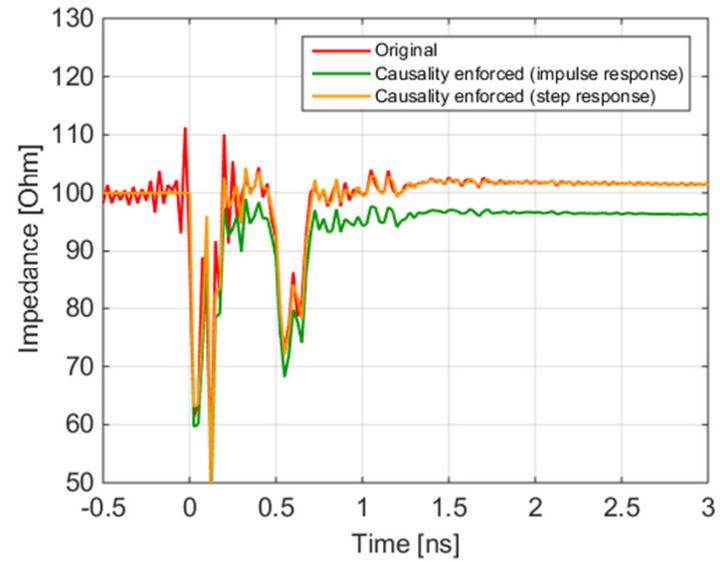
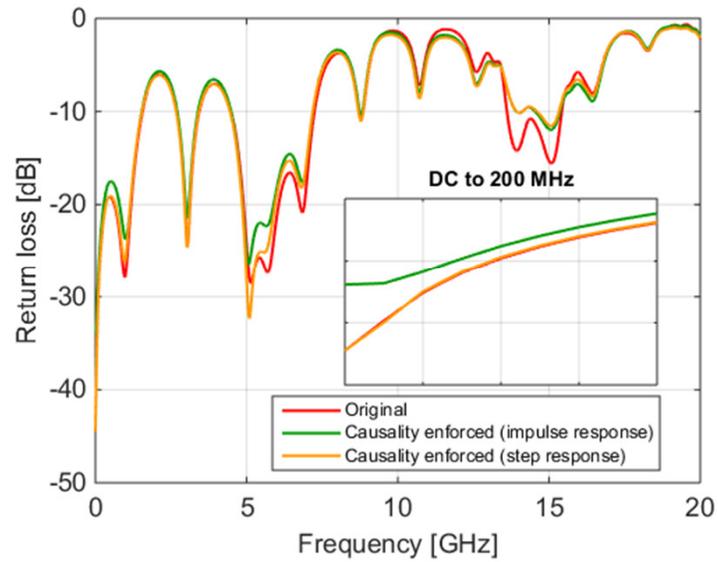


DC value and causality enforcement



DC-value does not change if causality is enforced

DC value and causality enforcement





samtec