### Effects of PCB Technological Features on Channel Operating Margin (COM)



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## Abstract

The coupling (weak vs. strong) in edge-coupled differential transmission lines on a printed circuit board (PCB) affects frequency behavior of mixed-mode S-parameters. Slightly imbalanced stripline differential pairs are considered with various technological features modeled: rectangular vs. trapezoid shape of a signal trace cross-section; copper foil roughness; and presence of an epoxy-resin "pocket" (EP) between the stripline traces (dielectric properties of the EP are different from the homogenized parameters of the ambient dielectric where these traces are embedded. The quality of the differential mode (DM), which determines SI, is associated with the frequency dispersion and loss on the line. The common mode (CM) is inevitable on differential pairs. The study is carried out using full-wave simulation and corroborated with measurement. After the differential pairs are examined, the model is used for the calculation of COM. Channel Operating Margin (COM) is an efficient method to evaluate high speed interconnects. Effects of PCB technologies on COM are studied with a 1000GBASE-KP4 link. The pulse responses of COM are validated by comparing to circuit simulations.

### Outline

This is a two-step presentation. The first step (see below). The second step is a calculation of the COM with the same features.

The first step overview:

Motivation

What do you mean by "Technological Features"

Discuss the features of interest

Simulated results (focus on mode conversion)

Measured results





- Differential signaling plays an important part in high-speed digital design due to their high immunity, low X-talk, and potentially reduced EMI problems.
- Currently, high-speed serial link interfaces, *e.g.*, USB, Ethernet, InfiniBand, PCI Express, Serial Attached SCSI, operate in the differential signal transmission mode, and have from a few to tens gigabit-per-second data rates.
- Transmission line/net features have an impact on the mode conversion of the signals.

### Modes Edge Coupled Stripline







# Topological features and material factors on Signal Integrity of differential pair

Stripline edge coupled differential pair were compared for

- Weak and strong coupled case
- Trace shape: Rectangular edge; trapezoid edges of 60 and 45 degrees
- With/without copper foil roughness
- With/without epoxy-resin "pocket"



M. Koledintseva, T. Vincent, "Comparison of Mixed-mode S-parameters in Weak and Strong coupled Differential Pairs". Conference: 2016 IEEE International Symposium on Electromagnetic Compatibility - EMC 2016



# Strong vs Weak coupling metric

Weak coupled: Zdiff = 97.44, Zcom = 26.11 K= - 0.034

Strong coupled: Zdiff = 83.22, Zcom = 25.48, K= - 0.096

0.09 mm 0.09 mm 0.18 mm

Zdiff = 2Zodd = 2Zse(1-K)

Zcom = Zeven/2 = (Zse/2)(1+K)

Zdiff + 4\*Zcom = 97.44+4\*26.11 = 201 = 4\*Zse. **Zse=50.47** 

Zdiff + 4\*Zcom = 83.22+4\*25.48 = 185.14 = 3\*Zse. Zse=46.285

Example: Weak coupled



### Weak and strong coupling





### Trace edge shapes

Cross section views from models showing trapezoid shape.



60 degree edge. Weak coupled, epoxy pocket.



features included in this model.



45 degree edge. Weak coupled. Epoxy pocket (transparent).



### Copper foil Roughness

Surface roughness modelled as dielectric layer on traces





### Quantification of Copper Foil Roughness Profiles



1<sup>st</sup> look at the surface measurement – and a look at a more sophisticated approach.

 $\begin{array}{l} {\sf A}_r\text{-} \text{ average peak-to-valley roughness amplitude} \\ {\Lambda}_r\text{-} \text{ average quasi-period of roughness} \\ {\sf QR} - \text{roughness quantification factor, QR} ~ {\sf A}_r/{\Lambda}_r \\ {\sf t} - \text{copper foil thickness (at flat levels)} \\ {\sf T}_r\text{-} \text{height of copper foil roughness layer in a model} \end{array}$ 



### Effective Roughness Dielectric (ERD) Parameters Extraction



Reference: Koul, Koledintseva, Hinaga, Drewniak "Differential Extrapolation Method for Separating Dielectric and Rough Conductor Losses in Printed Circuit Boards" IEEE Trans, 2012.



-Curve fitting co-efficients are generated  $K1\sim \mbox{V}\omega$  ,  $K2\sim \omega,$  and  $K3\sim \omega^2$ 

 K1(0), K2(0), and K3(0) corresponds with smooth conductor, allow separation of surface roughness loss and dielectric loss. K co-efficients relate to Ar

 Dielectric material (smooth) 3D object with extracted "roughness" parameters can be included in simulation to simulate roughness impact



### Effective Roughness Dielectric (ERD) Parameters Extraction



- STD BO Measured - STD BO Modeled

Optimization procedure uses numerical modeling in the loop for fitting Sparameters until measured and modeled results agree within some criteria.

M.Y. Koledintseva, T. Vincent, A. Ciccomancini Scogna, and S. Hinaga, "Method of effective roughness dielectric in a PCB: measurement and full-wave simulation verification", IEEE Trans. Electromag. Compat., vol. 57, no. 4, Aug. 2015, pp. 807-814

### Surface Roughness Model used - DERM



Sets 4, 5 – 7 mil traces



Thicknesses of the corresponding roughness dielectric layers in the numerical model are taken as  $T_r=2\times A_r$ 



# Simulation – layer of Dielectric



Cross section view - Not to scale for presentation purposes only

- Laminate dielectric parameters are extracted from DERM2 (for both  $\alpha$  and  $\beta$ ).
- Heights of ERD  $T_{r \text{ foil}}$  are taken  $2A_{r \text{ foil}}$ , respectively.
- Line length for this model = 15,410 mils



### Conductor Roughness in Single-Ended Lines

Conductor roughness affects both phase and loss constants in PCB transmission lines and results in eye diagram closure, especially at bit rates > 10 GBps.







# Epoxy Pocket

Epoxy pocket between traces



Epoxy pocket between traces. Strong coupled, rectangle edge, with ERD (surface roughness included)



Epoxy pocket shown in magenta with 60 degree edge. Weak coupled,



## PCB material (matrix) properties



DK and DF of PPO Blend Dielectric extracted using DERM technique

M.Y. Koledintseva, A.V. Rakov, A.I. Koledintsev, J.L. Drewniak, and S. Hinaga, "Improved experiment-based technique to characterize dielectric properties of printed circuit boards", IEEE Trans. Electromag. Compat., vol. 56, no. 6, 2014, pp. 1559-1566.

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# Simulation

- Using time domain solver due to broad band of results 0-40 GHz and low number of ports.
- Multiple lengths, average ~100mm. For COM length the models were changed to 1meter.
- Mesh count, for 100 mm length average mesh count was 2million, for 1m long pair the mesh count average was 25 million hexahedrals.



Cross section mesh view

V	Name	Expression	Description
-11	ERDSTD	= 0.0124	ERD layer thickness
=	tms	= 0.0175	thickness of microstrip trace
-11	tgp	= .0175	thickness of ground plane
=	Tracetop	= TraceBot-(0.00001)	Top trace width
-11	wt	= 0.087	width of trace (no trap)
#	TraceBot	= WT	Bottom trace width
-11	d	= ((0.22-0.0175)/2)	thickness of substrate
-11	st	= 0.18	separation of traces
=	a	= 0.5	determines trap angle
-	ht2	= 2	
-12	ht1	= 2	distance of trace 1 to edge
#	subwidth	= (2*wt)+ht1+ht2+st	width of substrate
-11	L1	= 1000	length of trace 1
п	L2	= 1000.127	length of trace 2
-11	sublength	= 1004	substrate length

# Differential-mode propagation with ERD (surface Roughness), different edge gradients



- Weak coupling provides less IL for DM than strong coupling.
- Rectangular traces provide less IL for DM than the trapezoidal traces, especially in the weak-coupled lines. IL in the 45degree case is higher than in 60-degree case for the weak coupling.
- IL in the 45-degree and 60-degree strong-coupled cases almost coincide, and they are higher than in the rectangular case.



There is difference of about 5.0 dB at 40 GHz for the given lengths of the traces in the IL for the DM propagation.





Comparing two ERD cases – with epoxy resin pockets and without, there is a difference of about 1.4 dB at 40 GHz for the given lengths of the traces in the IL for the DM propagation due to the epoxy pocket. This difference is less than for the strong-coupled case.





Comparing two ERD cases – with epoxy resin pockets and without, there is a difference of about 1.5 dB at 40 GHz for the given lengths of the traces in the IL for the DM propagation due to the epoxy pocket.





- IL for DM in the case with ERD, but no epoxy pocket is significantly less than in the cases with the epoxy pocket at lower frequencies (<17 GHz).
- After 17 GHz, the IL for DM with ERD and no epoxy pocket is higher than the case without ERD and with epoxy pocket. This means that after 17 GHz the ERD damping effect dominates.



### **Mode conversion**



- In the strong-coupled cases, the mode conversion is reduced as compared to the weak-coupled cases.
- The weak-coupled case with 45-degree trapeziodal traces has the highest mode conversion over the entire frequency range.
- For rectangular traces, there is no significant difference in the mode conversion between the strong and weak coupling.
- The 60-degree traces provide the least mode conversion, especially in the strong-coupled cases.





- In the strong-coupled cases, the mode conversion is reduced as compared to the weak-coupled cases. The same is seen with ERD. But without ERD, in the case with rectangular traces, strong coupling results in the higher mode conversion.
- The weak-coupled case with 60-degree trapeziodal traces has the lowest mode conversion over the entire frequency range.
- There is no much difference in the mode conversion levels for 45- and 60-degree cases in both strong-coupled and weak-coupled structures.
- ERD looks more important for mode conversion enhancement in 45-degree weak-coupled case.





Mode conversion

(Sdc=Scd)

• There is a noticeable mode conversion enhancement due to ERD at the lower frequencies below 27 GHz, then ERD damps the mode conversion.





Mode conversion

- There is a noticeable mode conversion enhancement due to ERD at the lower frequencies below 23 GHz, then ERD results in damping.
- But the observed low-frequency enhancement in the weak-coupled case is less than for the strong-coupled case.



(Sdc=Scd)



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• There is damping of mode conversion by ERD over the frequency range starting from 8 GHz in the strong-coupled and 45-degree trapezoidal case.



Mode conversion

(Sdc=Scd)

• There is significant damping of mode conversion by ERD over the entire frequency range in the weak-coupled and 45-degree trapezoidal case.





- In the ERD cases, with 60-degree traces and strong coupling, epoxy pockets damp mode conversion.
- ERD also damps mode conversion over the entire frequency range.
- However, at the lower frequencies (<20 GHz), the ERD in the case of absence of epoxy pocket may enhance the mode conversion.



# Measured vs simulation comparison; stripline, strong coupled





# Measured vs simulation comparison; stripline, weak coupled





### Conclusion

- For differential mode insertion loss the results were as expected: ERD (surface roughness) increases IL. Weak coupling has less impact when compared to strong coupling. Sharper angles has larger impact than rectangular edge overall.
- ✤ For SI, weak coupling is preferable as expected.
- However, mode conversion is, in general, larger in the weak-coupled than strongcoupled cases especially if the traces are trapezoid and other factors are considered.
- It seems Copper foil roughness and the epoxy-resin pocket, between the traces, enhances mode conversion.
- The mode conversion is most critical when there is weak coupling, 45-degree trapezoid traces, and significant roughness (especially at lower frequencies). Strong coupling creates mode damping.





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## Abstract

The coupling (weak vs. strong) in edge-coupled differential transmission lines on a printed circuit board (PCB) affects frequency behavior of mixed-mode S-parameters. Slightly imbalanced stripline differential pairs are considered with various technological features modeled: rectangular vs. trapezoid shape of a signal trace cross-section; copper foil roughness; and presence of an epoxy-resin " pocket " (EP) between the stripline traces (dielectric properties of the EP are different from the homogenized parameters of the ambient dielectric where these traces are embedded. The quality of the differential mode (DM), which determines SI, is associated with the frequency dispersion and loss on the line. The common mode (CM) is inevitable on differential pairs. The study is carried out using full-wave simulation and corroborated with measurement. After the differential pairs are examined the model is used for the calculation of COM.

Channel Operating Margin (COM) is an efficient method to evaluate high speed interconnects. Effects of PCB technologies on COM are studied with a 1000GBASE-KP4 link. The pulse responses of COM are validated by comparing to circuit simulations.





# Part 2: Channel Operating Margin <sup>[1][2]</sup>

**COM** Introduction

□ COM Results for the **Reference Model** 

Comparison of COM Values for Models with different PCB technological features

Summary





## 100GBASE-KP4<sup>[1]</sup>

- > 100G: Data rate is about 100 Gbps
- BASE: Baseband channel
- ➤ K: Backplane
- ▶ P: <u>P</u>AM4
- 4: <u>4</u> differential pairs
- About 1 meter long backplane channel in the Ethernet network, including daughter boards, connectors and mother boards.
- COM parameters are provided in IEEE Std. 802.3bj-2014

Signaling rate	$f_b$	13.59375 GBd	
Receiver 3 dB bandwidth	f <sub>r</sub>	$0.75 \times f_b$	
Number of signal levels	L	4	
:	:	•	
Target detector error ratio	DER <sub>0</sub>	$3 \times 10^{-4}$	

**COM** parameters







- S-parameter is not enough to estimate the performance of the entire system.
- > All the blocks are analytically formulated with the given COM parameters and together with SDD, PDF/COM/SER can be calculated to give qualitative evaluation on the passive channel. SIMULIA



# COM Workflow

SDD: Differential S-parameter
PR: <u>P</u>ulse <u>R</u>esponse
FOM: <u>F</u>igure <u>of M</u>erit
PDF: <u>P</u>robability <u>D</u>ensity <u>F</u>unction
COM: Channel Operating Margin

FFE: <u>Feed Forward Equalizer</u> CTF: <u>Continuous Time Filter</u> PDF: <u>Probability Density Function</u>

1. SDD 
$$\rightarrow$$
 2. PR  $\rightarrow$  3. FOM  $\rightarrow$  4. PDF  $\rightarrow$  5. COM

- 1. Differential S-parameter obtained from 3D simulation;
- 2. Pulse response can be derived from SDD, including linear package parasitics and linear filters (e.g. FFE, CTF and receiver noise filter);
- 3. Sweep parameters of FFE and CTF to find the best equalization setup based on FOM;
- 4. Calculate PDF with the optimized FFE and CTF settings at step 3;
- 5. Calculate COM value from the PDF obtained at step 4.





SDD: Differential S-parameter NE/FEXT: <u>N</u>ear/<u>F</u>ar <u>E</u>nd Crosstalk

# 1. SDD



- Only differential mode is considered.
- SDD is normalized to100 Ohm.
- Linear sampled with equidistance of 0.01 GHz in the range [0, 56 GHz].
- Frequency domain response  $H_{SDD}^{(k)}(f)$  for each path can be derived from S-parameter.





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PR: <u>Pulse Response</u> FFE: <u>Feed Forward Equalizer</u> R<sub>d</sub>: Termination Resistance PKG: Package SDD: Differential S-Parameter CTF: <u>Continuous Time Filter</u> DFE: <u>Decision Feedback Equalizer</u> iFFT: <u>inverse Fast Fourier Transform</u>



- > PRs can be calculated for the linear part and DFE taps can be read from  $h^{(0)}(t_s)$ .
- FFE and CTF are parameterized and PRs need to be calculated for every combination of these parameters.



# 3. FOM

FOM: <u>Figure of M</u>erit ISI: <u>Inter Symbol Interference</u> XT: Crosstalk TX: Transmitter

PR: <u>Pulse Response</u> FFE: <u>Feed Forward Equalizer</u> CTF: <u>Continuous Time Filter</u> DFE: <u>Decision Feedback Equalizer</u>

$$FOM = 10\log_{10}\left(\frac{A_s^2}{\sigma_{TX}^2 + \sigma_{ISI}^2 + \sigma_J^2 + \sigma_{XT}^2 + \sigma_N^2}\right)$$
  
 $A_s$ : Signal Amplitude  $\sigma_J^2$ : Noise due to timing jitter

 $A_s$ : Signal Amplitude  $\sigma_{TX}^2$ : Transmitter Noise  $\sigma_{ISI}^2$ : ISI Noise

 $\sigma_J^2$ : Noise due to tim  $\sigma_{XT}^2$ : XT Noise  $\sigma_N^2$ : Receiver Noise



n: sweep index for the parameter combination of FFE and CTF.

- FOM is defined as the formula above.
- All the variables (signal & noise) can be obtained with the given PRs and DFE taps in the previous slide.
- Parameter sweep of FFE and CTF is performed to find the largest FOM (i.e. the best FFE and CTF setting).





PDF p(y) is calculated by convolving DJ with RJ.

DJ: Deterministic jitter, which includes:

ISI, XT and deterministic timing jitter

RJ: Random jitter following Gaussian distribution, which includes:

Transmitter/Receiver noise and random timing jitter



COM: <u>Channel Operating Margin</u> DER: <u>Detector Error Ratio</u>



- > COM value is defined as:  $COM = 20\log_{10}\left(\frac{A_s}{A_{ni}}\right)$ , where  $A_{ni}$  satisfies:  $\int_{-\infty}^{-A_{ni}} p(y) dy = DER_0 = 3 \times 10^{-4}$ .
- If COM > 3 dB, the passive channel succeeds in passing the COM test. Otherwise, it fails.



5. COM



# DJ & RJ

DJ: <u>D</u>eterministic <u>J</u>itter RJ: <u>R</u>andom <u>J</u>itter PAM4: <u>4</u>-level <u>P</u>ulse <u>A</u>mplitude <u>M</u>odulation ISI: <u>I</u>nter <u>Symbol I</u>nterference XT: Crosstalk



Noise: Transmitter Noise, Receiver Noise, Random Timing Jitter and Deterministic Timing Jitter Interference: ISI and XT







SDD: Differential S-Parameter

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# Ref. Model<sup>[3]</sup>

- About 1000 mm stripline
- Weak coupling with coupling coefficient K = -0.03
- Differential impedance Z<sub>diff</sub> = 97.435 ohm, common impedance Z<sub>com</sub> = 26.106 ohm
- > Metal: electrical conductivity  $\sigma = 5.18e7$  S/m
- > Dielectric: Relative permittivity  $\varepsilon_r = 3.56$ , loss tangent tan ( $\delta$ ) = 0.005



# COM Report

#### SIMULIA CST COM REPORT

Report generated: Wed Feb 24 00:12:32 2021 **Calculation Time** S-parameter load time: 0.12 s Filter creation time: 149.49 s FOM optimization time: 76.85 s COM calculation time: 174.95 s Total time: 413.23 s Package model is enabled Considered time for sigma calculation before: 10.2 ns Best FOM: 18.05952859235201 Noise Terms sigma ISI: 0.0005014998067261224 sigma J: 0.00046269707691947473 sigma N: 0.0005159200153731885 sigma\_TX: 0.0009127440062371521 sigma\_XT: 0.0 Best FFE c(-1): -0.1 **Best FFE and CTF** Best FFE c(0): 0.62 Best FFE c(1): -0.28 Best CTF g DC: -12.0 Best CTF g DC2: 0.0 CTF Pole 1: 3.3984375 GHz

CTF Pole 2: 13.59375 GHz

FFE: Feed Forward Equalizer **CTF: Continuous Time Filter DFE: Decision Feedback Equalizer** COM: Channel Operating Margin

Bes	st DFE taps:	DEE Tans
0	1.0	
1	-0.3358322705801205	
2	-0.08661840062554277	
З	-0.004432098413141016	
4	0.011378468657579913	
5	0.011152342607647959	Heavy DFF taps
6	0.009518705156761965	
7	0.008437659903636744	causes error
8	0.0077238306480890044	
9	0.007196851590407687	propagation <sup>[2]</sup> ,
10	0.006767672524885149	
11	0.006395453674209619	which is not
12	0.006059420685933658	
13	0.005746970562455649	considered in
14	0.005455720381950763	
15	0.0051808779026287005	
16	0.0049216282135418005	
	0.0000015160000016166	

As: 0.009931516360239496 DER0: 0.0003 Ani: 0.004121130489976671 COM: 7.639983925367934





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# FFE Sweep<sup>[4]</sup>





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CTF: <u>Continuous Time Filter</u>

# CTF Sweep<sup>[4]</sup>





# FOM Sweep<sup>[4]</sup>





# Pulse Response (1/3)<sup>[4]</sup>

PR: <u>Pulse R</u>esponse FD: <u>F</u>requency <u>D</u>omain TD: Time Domain



> ISI and signal amplitude is significantly reduced by equalization.





# Pulse Response (2/3)<sup>[4]</sup>

**PR:** Pulse Response FD: Frequency Domain TD: Time Domain **Rx:** Receiver







- > PR of COM can be validated by circuit simulations.
- As expected, standard macro model shows more noise at the peak and initial state than Foster because of the long propagation delay.
- > Transient Co-simulation shows much less noise than macro modeling.



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PDF: <u>P</u>robability <u>D</u>ensity <u>F</u>unction XT: Crosstalk

# Noise Terms & PDF<sup>[4]</sup>



- No aggressor is simulated, so there's no XT terms.
- > Tx noise is larger than ISI, which is significantly reduced by equalization.
- PDF is normalized to 1.
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SR: <u>S</u>urface <u>R</u>oughness SC: <u>S</u>trong <u>C</u>oupling ER: <u>E</u>poxy <u>R</u>esin

# Comparison - Overview (1/6)

Models	Surface Roughness	Coupling	Etching	Epoxy Resin	Ref	Trap45
Ref.	No	Weak	90°	No		
SR	Yes	Weak	90°	No	SR	Trap60
SC	No	Strong	90°	No		
SR+SC	Yes	Strong	90°	No		
Trap45	No	Weak	45°	No		ER
Trap60	No	Weak	60°	No		
ER	No	Weak	90°	Yes	SR+SC	ER+SC
ER+SC	No	Strong	90°	Yes		

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SR: <u>Surface Roughness</u> SC: <u>Strong Coupling</u> ER: <u>Epoxy Resin</u>

# Comparison - Overview (2/6)

■ COM in dB ■ Difference to Ref in dB



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SR: <u>Surface Roughness</u> SC: <u>Strong Coupling</u> ER: <u>Epoxy R</u>esin COM: <u>Channel Operating Margin</u>

# Comparison - Individual Factors (3/6)

■ COM in dB ■ Difference to Ref in dB







 Surface roughness is the most critical factor to consider and causes about 1.5 dB loss for COM.



SR: <u>S</u>urface <u>R</u>oughness SC: <u>S</u>trong <u>C</u>oupling COM: <u>C</u>hannel <u>O</u>perating <u>M</u>argin

# Comparison - SR (4/6)

■ COM in dB ■ Difference to Ref in dB



- Strong coupling doesn't change the results too much.
- Surface roughness has more impact on COM for the strong coupling case (in the sense 2.18 > 1.58 + 0.11 dB).





# Comparison - Etching (5/6)

■ COM in dB ■ Difference to Ref in dB









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SC: Strong Coupling **ER: Epoxy Resin** COM: <u>Channel Operating Margin</u>

ER

# Comparison - ER (6/6)

Difference to Ref in dB COM in dB







7.6

Ref.

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- > COM gives qualitative evaluation on passive channel designs in system level.
- > COM PR can be validated by circuit simulations.
- > SR effect is significant and should be considered according to COM analysis.
- If the diff. pair is strong coupled, effects of SR and ER can be more significant, which could be related to the field distribution.

Etching and ER have also impact on the overall performance.
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## References

[1] IEEE Std. 802.3bj-2014.

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